## Merged Talk:

A Hierarchy of Information Quantities for Finite Block Length Analysis of Quantum Tasks

Marco Tomamichel*, Masahito Hayashi ${ }^{\dagger *}$ arXiv: 1208.1478

## Second Order Asymptotics for Quantum Hypothesis Testing

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## Quantum Hypothesis Testing

Theory $A$
(Established Theory)
Null Hypothesis

Theory $B$
(New Theory)
Alternate Hypothesis


Theory A predicts that System is in state $\rho$.

Theory $B$ predicts that System is in state $\sigma$.

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Null Hypothesis: $\rho$; Alternate Hypothesis: $\sigma$.

- Devise a test, a POVM $\{Q, 1-Q\}$ with $0 \leq Q \leq 1$.
- If $Q$ clicks, you accept the null hypothesis.


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- The error of the first kind, $\alpha$, validates the alternate hypothesis even though the null hypothesis is correct. This is fatal - you will write a crackpot paper!


## Quantum Hypothesis Testing

- We are interested in the minimal $\beta$ that can be achieved if $\alpha$ is required to be smaller than a given constant, $\varepsilon$, i.e. the SDP

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\beta_{\rho, \sigma}^{\varepsilon}:=\min _{\substack{0 \leq Q \leq 1 \\ \alpha(Q) \leq \varepsilon}} \beta(Q)=\min _{\substack{0 \leq Q \leq 1 \\ \operatorname{tr}(\rho Q) \geq 1-\varepsilon}} \operatorname{tr}(\sigma Q) .
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- The additive normalization $\log (1-\varepsilon)$ ensures (Dupuis+'12)

$$
D_{h}^{\varepsilon}(\rho \| \sigma) \geq 0 \quad \text { and } \quad D_{h}^{\varepsilon}(\rho \| \sigma)=0 \Longleftrightarrow \rho=\sigma .
$$

- It also satisfies data-processing, $D_{h}^{\varepsilon}(\rho \| \sigma) \geq D_{h}^{\varepsilon}(\mathcal{E}(\rho) \| \mathcal{E}(\sigma))$.


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& D_{h}^{\varepsilon}\left(\rho^{\otimes n} \| \sigma^{\otimes n}\right) \leq n D(\rho \| \sigma)+O(\sqrt{n}) \quad \text { and } \\
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- Our goal is to investigate the second order term, $O(\sqrt{n})$.


## Main Result

## Theorem

For two states $\rho, \sigma$ with $\operatorname{supp}\{\sigma\} \supseteq \operatorname{supp}\{\rho\}$, and $0<\varepsilon<1$, we find

$$
\begin{aligned}
& D_{h}^{\varepsilon}\left(\rho^{\otimes n} \| \sigma^{\otimes n}\right) \leq n D(\rho \| \sigma)+\sqrt{n V(\rho \| \sigma)} \Phi^{-1}(\varepsilon)+2 \log n+O(1), \quad \text { and } \\
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- $D$ and $V$ are the mean and variance of $\log \rho-\log \sigma$ under $\rho$, i.e.

$$
V(\rho \| \sigma):=\operatorname{tr}\left(\rho(\log \rho-\log \sigma-D(\rho \| \sigma))^{2}\right)
$$

- $\Phi$ is the cumulative normal distribution function, and $\Phi^{-1}(\varepsilon)$ is



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- Classically, the result is known to hold with both logarithmic terms equal to $\frac{1}{2} \log n$ (e.g. Strassen'62,Polyanskiy,Poor\&Verdú'10).
- One ingredient of both proof is the Berry-Essèen theorem, which quantizes the convergence of the distribution of a sum of i.i.d. random variables to a normal distribution.
- Intuitively, our results can be seen as a quantum, entropic formulation of the central limit theorem.


## Smooth Entropies

- We also investigate the smooth min-entropy (Renner'05), where it was known (T,Colbeck\&Renner'09) that

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\begin{aligned}
& H_{\min }^{\varepsilon}\left(A^{n} \mid B^{n}\right)_{\rho^{\otimes n}} \leq n H(A \mid B)_{\rho}+O(\sqrt{n}), \quad \text { and } \\
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where $H(A \mid B)_{\rho}=D\left(\rho_{A B} \| 1_{A} \otimes \rho_{B}\right)$ and $V(A \mid B)_{\rho}=V\left(\rho_{A B} \| 1_{A} \otimes \rho_{B}\right)$.

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- Both hypothesis testing and smooth entropies have various applications in information theory, some of which we explore next.


## Randomness Extraction against Side Information

- Consider a CQ random source that outputs states

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\rho_{X E}=\sum_{x} p_{x}|x\rangle\langle x| \otimes \rho_{E}^{x} .
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- Investigate the amount of randomness that can be extracted from $X$ such that it is independent of $E$ and the random seed, $S$.


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- For any $0 \leq \varepsilon<1$ and $\rho_{X E}$ a CQ state, we define

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\ell^{\varepsilon}(X \mid E):=\max \left\{\ell \in \mathbb{N}\left|\exists \mathcal{P}, \sigma_{E}:|Z|=2^{\ell} \wedge \tau_{Z E S} \approx^{\varepsilon} 2^{-\ell} 1_{Z} \otimes \sigma_{E} \otimes \tau_{S}\right\}\right.
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- This quantity can be characterized in terms of the smooth min-entropy (Renner'05). We tighten this and show


## Theorem

Consider an i.i.d. source $\rho_{X^{n} E^{n}}=\rho_{X E}^{\otimes n}$ and $0<\varepsilon<1$. Then,

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\ell^{\varepsilon}\left(X^{n} \mid E^{n}\right)=n H(X \mid E)+\sqrt{n V(X \mid E)} \Phi^{-1}\left(\varepsilon^{2}\right) \pm O(\log n)
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m^{\varepsilon}(X \mid B)_{\rho}:=\min \left\{m \in \mathbb{N}\left|\exists \mathcal{P}:|M|=2^{m} \wedge P\left[X \neq X^{\prime}\right] \leq \varepsilon\right\}\right.
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- This quantity can be characterized using hypothesis testing (H\&Nagaoka'04). We tighten this and show


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## Example of Second Order Asymptotics

- Consider transmission of $|0\rangle,|1\rangle$ through a Pauli channel to B (phase and bit flip independent) with environment $E$. This yields the states

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\begin{aligned}
& \rho_{X B}=\frac{1}{2} \sum|x\rangle\langle x| \otimes((1-p)|x\rangle\langle x|+p|1-x\rangle\langle 1-x|) \\
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- Plot of first and second order asymptotic approximation of $\frac{1}{n} \ell^{\varepsilon}(X \mid E)$ and $\frac{1}{n} m^{\varepsilon}(X \mid B)$ for $p=0.05$ and $\varepsilon=10^{-6}$.


## Example of Finite Block Length Bounds



## Different Layers of Approximation

| Class | Role | Quantities |
| :--- | :--- | :--- |
| Class 1 | Optimal performance of protocol. <br> Calculation is very difficult. | $m^{\varepsilon}(X \mid B)_{\rho}$ <br> $\ell^{\varepsilon}(X \mid B)_{\rho}$, |
| Class 2 | One-shot bound for general source. <br>  <br> SDP tractable for small systems. | $H_{h}^{\varepsilon}(A \mid B)_{\rho}$, <br> $H_{\min }^{\varepsilon}(A \mid B)_{\rho}$ |
| Class 3 | Quantum information spectrum. | $D_{s}^{\varepsilon}(\rho \\| \sigma)$ |
| Class 4 | Classical information spectrum. <br>  <br>  <br> Approximately possible for i.i.d. | $D_{s}^{\varepsilon}\left(P_{0, \rho, \sigma} \\| P_{1, \rho, \sigma}\right)$ |
| Class 5 | Second order asymptotics. <br>  <br>  <br> Calculation is easy for large $n$. | $n H(X \mid B)+$ |
| $\sqrt{n} s(X \mid B) \Phi^{-1}(\varepsilon)$ |  |  |


| Classes | Difference | Method |
| :--- | :--- | :--- |
| $1 \rightarrow 2$ | $O(\log n)$ | Random coding and monotonicity. |
| $2 \rightarrow 4$ | $O(\log n)$ | Relations between entropies. |
| $4 \rightarrow 5$ | $O(1)$ | Berry-Essèen Theorem. |

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## Conclusion / Differences \& Overlap

- The methods employed in the two papers are conceptually different.
- The approach employed by Li is more direct, leads to tighter bounds for finite $n$ and better coefficients for the logarithmic term.
- The approach of T\&H is more general.

| Result | T\&H | Li |
| :--- | :---: | :---: |
| 2nd order asymptotics for hypothesis testing | $\checkmark$ | $\checkmark$ |
| Finite $n$ bounds for hypothesis testing | $\checkmark$ | $\checkmark$ |
| 2nd order asymptotics of smooth min-entropy | $\checkmark$ |  |
| Application to data compression and randomness <br> extraction with quantum side information | $\checkmark$ |  |
| Hierarchy of information quantities, linking <br> operational quantities, one-shot entropies and <br> asymptotic analysis of quantum tasks | $\checkmark$ |  |

## Open Questions

- There is a difference of $2 \log n$ between the current upper and lower bounds on $D_{h}^{\varepsilon}\left(\rho^{\otimes n} \| \sigma^{\otimes n}\right)$. Is this fundamental, i.e. do there exist $\rho$ and $\sigma$ for which these bounds are tight? Or can this be further improved? (Classically, the upper and lower bounds only differ in the constant.)


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## Thank you for your attention.

