

# Open Problems in Unconditional Derandomization

Luca Trevisan

University of California, Berkeley  
Stanford University

**Derandomization:** efficient deterministic simulation of randomized algorithms

## goals

Suppose  $A(x, r)$  is an “efficient” randomized algorithm with input  $x$  and randomness  $r$

Derandomization of decision problems Given the promise that  
either

$$\mathbb{P}_r[A(x, r) = 1] \geq \frac{9}{10}$$

or

$$\mathbb{P}_r[A(x, r) = 1] \leq \frac{1}{10},$$

determine which is the case

Suppose  $A(x, r)$  is an “efficient” randomized algorithm with input  $x$  and randomness  $r$

Derandomization of decision problems Given the promise that  
either

$$\mathbb{P}_r[A(x, r) = 1] \geq \frac{9}{10}$$

or

$$\mathbb{P}_r[A(x, r) = 1] \leq \frac{1}{10},$$

determine which is the case

Note: If *efficient* = polynomial time, this implies  $P = BPP$

Suppose  $A(x, r)$  is an “efficient” randomized algorithm with input  $x$  and randomness  $r$

Derandomization of search problems Given the promise that

$$\mathbb{P}_r[A(x, r) = 1] \geq \frac{1}{10}$$

find  $r^*$  such that  $A(x, r^*) = 1$

Note: If *efficient* = polynomial time, this derandomizes randomized search algorithms

Suppose  $A(x, r)$  is an “efficient” randomized algorithm with input  $x$  and randomness  $r$

**Approximate counting** Find a number  $p$  such that

$$p - \frac{1}{10} \leq \mathbb{P}_r[A(x, r) = 1] \leq p + \frac{1}{10}$$

Note: If *efficient* = polynomial time, this derandomizes randomized approximate counting algorithms such as Permanent approximation

**Hitting Set Generation** Find a set  $S$  such that, for every “efficient” randomized algorithm  $A(x, r)$  and every input  $x$ , if

$$\mathbb{P}_r[A(x, r) = 1] \geq \frac{1}{10}$$

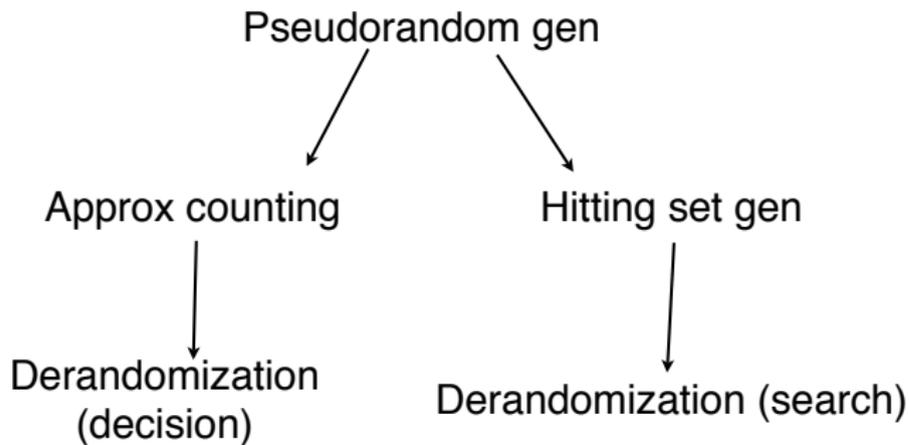
then

$$\exists r^* \in S. A(x, r^*) = 1$$

**Pseudorandom Generation** Find a set  $S$  such that, for every “efficient” randomized algorithm  $A(x, r)$  and every input  $x$ ,

$$\mathbb{P}_{r \sim S}[A(x, r) = 1] - \frac{1}{10} \leq \mathbb{P}_{r \sim U}[A(x, r) = 1] \leq \mathbb{P}_{r \sim S}[A(x, r) = 1] + \frac{1}{10}$$

## relationships



## complexity classes

In general: for a class of algorithms, useful to focus on class of functions  $\mathcal{C}$  of form  $r \rightarrow A(x, r)$  over choice of algorithm  $A$  and input  $x$ .

e.g.

- $A$  polynomial time,  $\mathcal{C}$  polynomial size circuits
- $A$  logarithmic space,  $\mathcal{C}$  polynomial width branching programs

More convenient to define derandomization, approximate counting, hitting set generation, pseudorandom generation in terms of  $\mathcal{C}$ .

## conditional derandomization

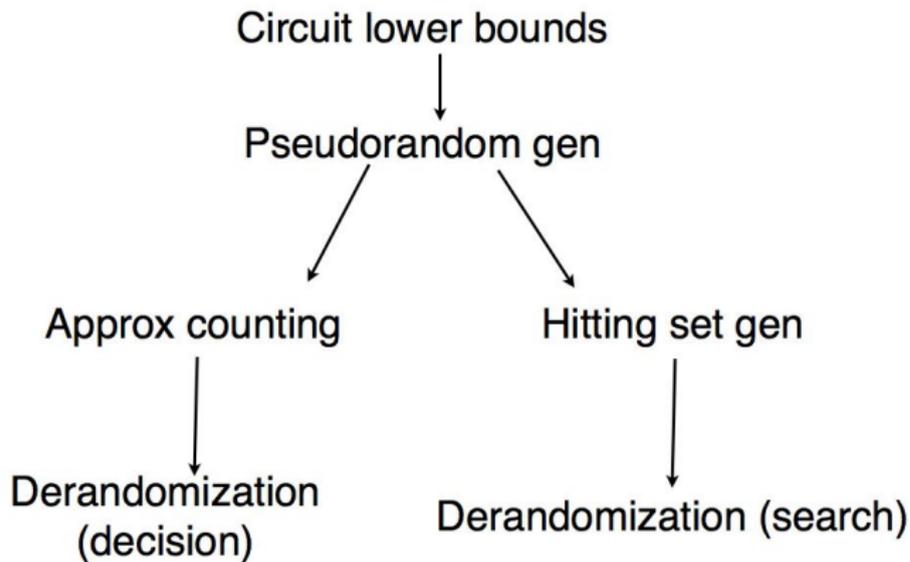
[Nisan-Wigderson, Babai-Fortnow-Nisan-Wigderson, Impagliazzo, Impagliazzo-Wigderson]

Under plausible circuit complexity assumptions,

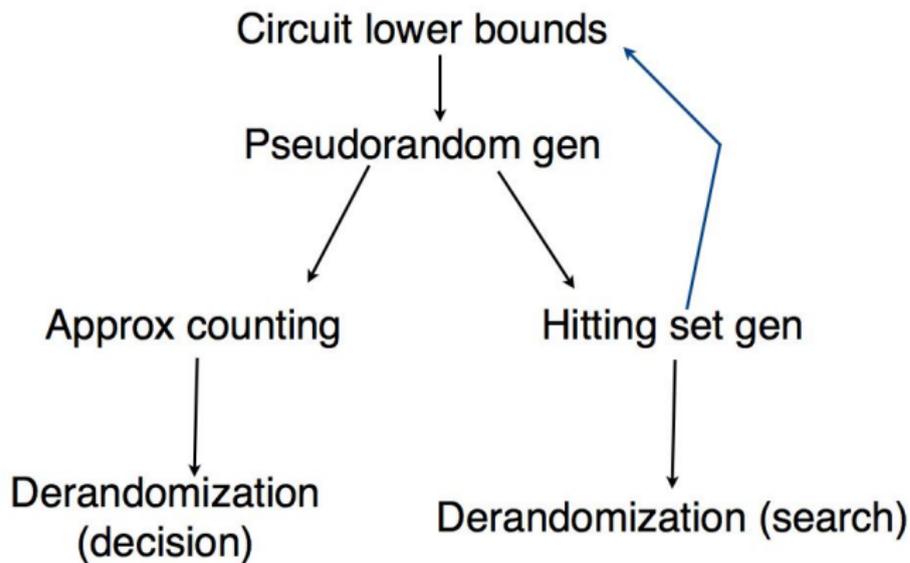
there are polynomial time computable pseudorandom generators for polynomial size circuits (and  $P = BPP$ , etc.)

and log-space computable pseudorandom generators for polynomial size branching programs (and  $L = BPL$ , etc.)

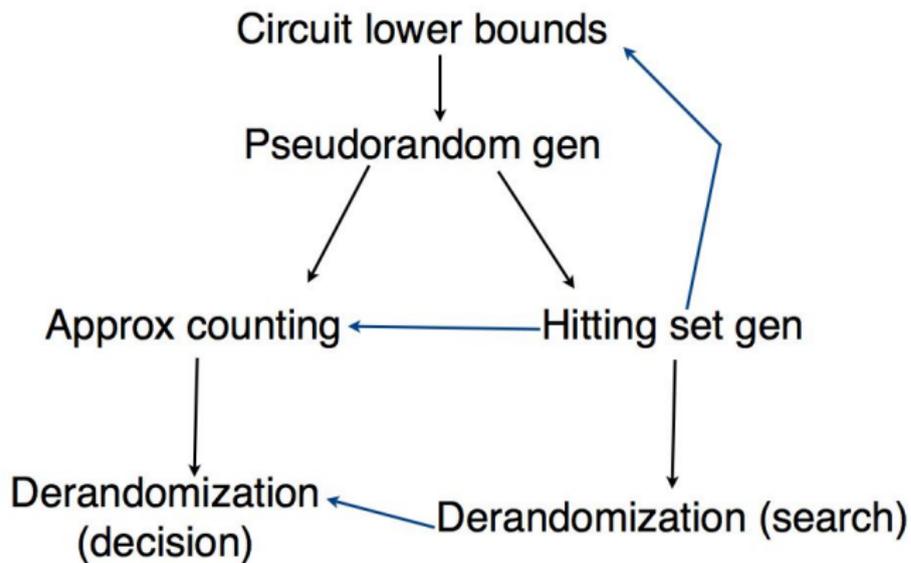
## polynomial size circuits



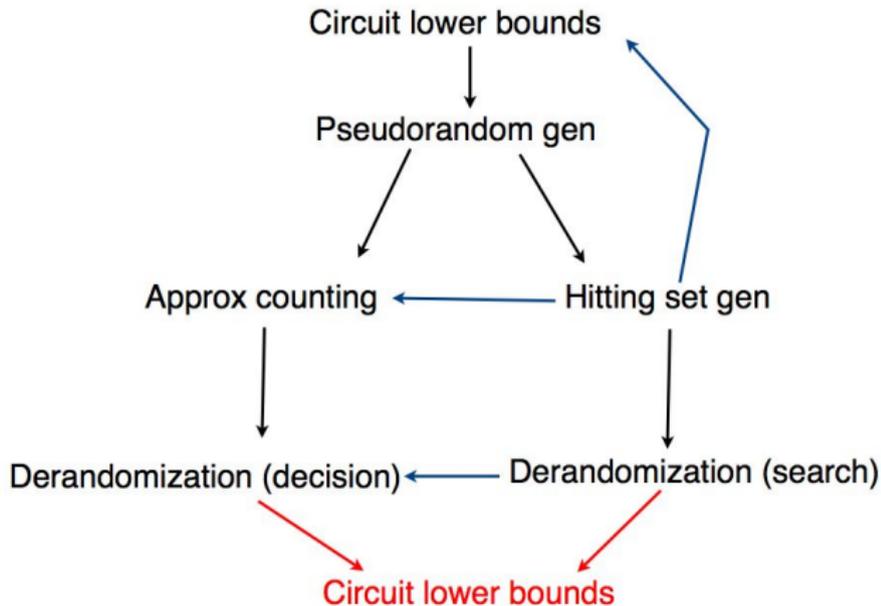
## polynomial size circuits



## polynomial size circuits



# polynomial size circuits



## conditional derandomization

[..., Kabanets-Impagliazzo, ...]

Circuit lower bound assumptions are necessary to construct pseudorandom generators, and even for search or decision derandomization.

## unconditional derandomization

- A pseudorandom generator with  $n^{\text{poly } \log n}$  set size for bounded-depth circuits  
[Nisan, Nisan-Wigderson, 1989]
- A pseudorandom generator with  $n^{\log n}$  set size for polynomial width branching programs  
[Nisan 1990]

## unconditional derandomization

- A pseudorandom generator with  $n^{\text{poly } \log n}$  set size for bounded-depth circuits  
[Nisan, Nisan-Wigderson, 1989]
- A pseudorandom generator with  $n^{\log n}$  set size for polynomial width branching programs  
[Nisan 1990]

In past twenty years: some exciting developments, but main questions still open

# Bounded Depth Circuits

# Nisan's generator

[Nisan, Nisan-Wigderson '88]

**Hardness vs. Randomness:** Parity is hard for bounded-depth circuits [Furst-Saxe-Sipser, Yao, Håstad '81-'86];  
construct pseudorandom generator that is as hard to break as it is hard to compute parity;  
generator cannot be broken by poly size circuits

**Result:** Pseudorandom set of size  $n^{O(\log^{2d+5} n)}$  for depth- $d$  circuits,  $n^{\log^9 n}$  for depth-2;  
optimized to  $n^{\log^3 n}$  [Luby-Velickovic-Wigderson '93]

## question 1

Hardness of parity against depth-2 circuits: every dept-2 circuit of size  $2^{o(\sqrt{n})}$  has agreement at most  $\frac{1}{2} + \frac{1}{2^{\Omega(\sqrt{n})}}$  with Parity.

## question 1

Hardness of parity against depth-2 circuits: every dept-2 circuit of size  $2^{o(\sqrt{n})}$  has agreement at most  $\frac{1}{2} + \frac{1}{2^{\Omega(\sqrt{n})}}$  with Parity.

Best possible result for symmetric function

## question 1

Hardness of parity against depth-2 circuits: every dept-2 circuit of size  $2^{o(\sqrt{n})}$  has agreement at most  $\frac{1}{2} + \frac{1}{2^{\Omega(\sqrt{n})}}$  with Parity.

Best possible result for symmetric function

Open: is there an explicit function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  (e.g. computable in EXP) such that every depth-2 circuit of size  $2^{o(n)}$  has agreement at most  $\frac{1}{2} + \frac{1}{2^{\Omega(n)}}$  with  $f$ ?

Note: not sufficient to construct optimal Pseudorandom Generators via Nisan-Wigderson, but important first step

## Linial-Nisan

**Conjecture:** every  $(\log^{O(d)} n)$ -wise independent distribution is pseudorandom for depth- $d$  circuits

## Linial-Nisan

**Conjecture:** every  $(\log^{O(d)} n)$ -wise independent distribution is pseudorandom for depth- $d$  circuits

**Bazzi:** every  $O(\log^2 n)$ -wise independent distribution is pseudorandom for depth-2 circuits

Gives  $n^{\log^2 n}$  size pseudorandom set (better than via Nisan-Wigderson)

## Linial-Nisan

**Conjecture:** every  $(\log^{O(d)} n)$ -wise independent distribution is pseudorandom for depth- $d$  circuits

**Bazzi:** every  $O(\log^2 n)$ -wise independent distribution is pseudorandom for depth-2 circuits

Gives  $n^{\log^2 n}$  size pseudorandom set (better than via Nisan-Wigderson)

**Braverman:** every  $(\log^{O(d^2)} n)$ -wise independent distribution is pseudorandom for depth- $d$  circuits

## Linial-Nisan

**Conjecture:** every  $(\log^{O(d)} n)$ -wise independent distribution is pseudorandom for depth- $d$  circuits

**Bazzi:** every  $O(\log^2 n)$ -wise independent distribution is pseudorandom for depth-2 circuits

Gives  $n^{\log^2 n}$  size pseudorandom set (better than via Nisan-Wigderson)

**Braverman:** every  $(\log^{O(d^2)} n)$ -wise independent distribution is pseudorandom for depth- $d$  circuits

**De-Etesami-T-Tulsiani:** every  $n^{-\tilde{O}(\log n)}$ -biased distribution is pseudorandom for depth-2 circuits

Gives  $n^{\tilde{O}(\log n)}$  size pseudorandom set

## question 2

Is it true that every  $\frac{1}{n^{O(1)}}$ -biased distribution is  $\frac{1}{10}$ -pseudorandom for depth-2 circuits?

True for read-once [DETT '10] and read- $k$  [Klivans-Lee-Wan '10]

False if one wants  $\frac{1}{n}$ -pseudorandomness

## approximate counting

Given a depth-2 circuit  $C$ , an algorithm of Luby and Velickovic (1993) runs in time

$$n^{2^{O(\sqrt{\log \log n})}}$$

## approximate counting

Given a depth-2 circuit  $C$ , an algorithm of Luby and Velickovic (1993) runs in time

$$n^{2^{O(\sqrt{\log \log n})}}$$

(that's  $n^{o(\log n)}$ )

## approximate counting

Given a depth-2 circuit  $C$ , an algorithm of Luby and Velickovic (1993) runs in time

$$n^{2^{O(\sqrt{\log \log n})}}$$

(that's  $n^{o(\log n)}$ )

and computes a number that is  $\mathbb{P}[C(x) = 1] \pm \frac{1}{10}$

## approximate counting

Given a depth-2 circuit  $C$ , an algorithm of Luby and Velickovic (1993) runs in time

$$n^{2^{O(\sqrt{\log \log n})}}$$

(that's  $n^{o(\log n)}$ )

and computes a number that is  $\mathbb{P}[C(x) = 1] \pm \frac{1}{10}$

As far as I can see, the algorithm does not give a way to find a satisfying assignment under the promise that  $\mathbb{P}[C(x) = 1] \geq \frac{1}{10}$

## question 3

Develop a search algorithm with the Luby-Velickovic  $n^{2^{O(\sqrt{\log \log n})}}$  running time

Why should it be possible?

## question 3

Develop a search algorithm with the Luby-Velickovic  $n^{2^{O(\sqrt{\log \log n})}}$  running time

Why should it be possible?

Suppose  $\phi$  is  $\frac{1}{10}$ -satisfiable CNF

## question 3

Develop a search algorithm with the Luby-Velickovic  $n^{2^{O(\sqrt{\log \log n})}}$  running time

Why should it be possible?

Suppose  $\phi$  is  $\frac{1}{10}$ -satisfiable CNF

Luby-Velickovic algorithm (with error set at 1%) outputs an approximation of  $\mathbb{P}[\phi(x) = 1]$  which is  $\geq .09$

## question 3

Develop a search algorithm with the Luby-Velickovic  $n^{2^{O(\sqrt{\log \log n})}}$  running time

Why should it be possible?

Suppose  $\phi$  is  $\frac{1}{10}$ -satisfiable CNF

Luby-Velickovic algorithm (with error set at 1%) outputs an approximation of  $\mathbb{P}[\phi(x) = 1]$  which is  $\geq .09$

This certifies that  $\mathbb{P}[\phi(x) = 1] \geq .08 > 0$

## question 3

Develop a search algorithm with the Luby-Velickovic  $n^{2^{O(\sqrt{\log \log n})}}$  running time

Why should it be possible?

Suppose  $\phi$  is  $\frac{1}{10}$ -satisfiable CNF

Luby-Velickovic algorithm (with error set at 1%) outputs an approximation of  $\mathbb{P}[\phi(x) = 1]$  which is  $\geq .09$

This certifies that  $\mathbb{P}[\phi(x) = 1] \geq .08 > 0$

How come this certificate does not yield a satisfying assignment?

## depth-2 summary

**Pseudorandomness:** set of size  $n^{\tilde{O}(\log n)}$  via small-bias distributions  
[DETT '10]

**Hitting Sets:** see Pseudorandomness

**Approximate Counting:** running time  $n^{2^{O(\sqrt{\log \log n})}} \leq n^{o(\log n)}$   
[Luby-Velickovic '93]

**Derandomization (search):** ??

**Derandomization (decision):** see Approximate Counting

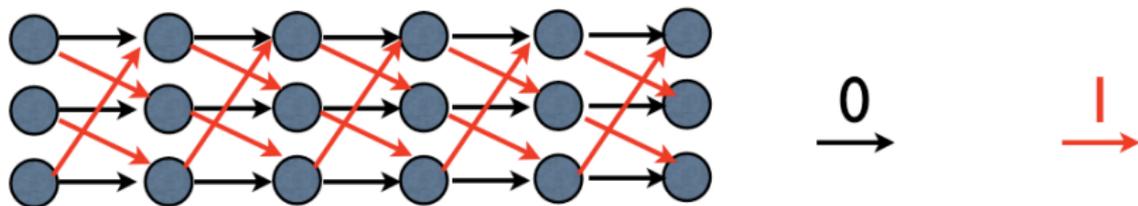
# Bounded-width Branching Programs

## the model of width- $w$ branching programs

A layered graph with  $w$  nodes in each layer.

Each node has two outgoing edges to next layer, labeled 0 and 1.

Models computations with  $\log_2 w$  bits of memory



## poly(n) width

Models log-space computations

Pseudorandomness:  $n^{\log n}$  size set [Nisan '90]

Hitting Sets: see pseudorandomness

Approximate counting:  $n^{\sqrt{\log n}}$  [Saks-Zhou '95]

Derandomization (search): ??

Derandomization (decison): see approximate counting

## poly(n) width

Models log-space computations

Pseudorandomness:  $n^{\log n}$  size set [Nisan '90]

Hitting Sets: see pseudorandomness

Approximate counting:  $n^{\sqrt{\log n}}$  [Saks-Zhou '95]

Derandomization (search): ??

Derandomization (decision): see approximate counting

Reingold's breakthrough applies to "undirected" branching programs

$O(1)$  width

Most promising set-up to improve Nisan's generator

## $O(1)$ width

Most promising set-up to improve Nisan's generator

Note:

- Approximate counting and derandomization trivial in  $O(1)$ -width case

## $O(1)$ width

Most promising set-up to improve Nisan's generator

Note:

- Approximate counting and derandomization trivial in  $O(1)$ -width case
- Program:
  - ① improve Nisan's generator in  $O(1)$ -width case;

## $O(1)$ width

Most promising set-up to improve Nisan's generator

Note:

- Approximate counting and derandomization trivial in  $O(1)$ -width case
- Program:
  - ① improve Nisan's generator in  $O(1)$ -width case;
  - ② transfer improvement to  $\text{poly}(n)$  width;

## $O(1)$ width

Most promising set-up to improve Nisan's generator

Note:

- Approximate counting and derandomization trivial in  $O(1)$ -width case
- Program:
  - ① improve Nisan's generator in  $O(1)$ -width case;
  - ② transfer improvement to  $\text{poly}(n)$  width;
  - ③ used improved generator in Saks-Zhou to improve derandomization.

## open question 4

Pseudorandom generators:

- ① Width-1: 0 bits of memory, trivial setting
- ② Width-2: 1 bit of memory  
 $\frac{1}{n^{O(1)}}$ -biased distribution give poly( $n$ )-size pseudorandom set  
[Saks-Zuckerman '99]
- ③ Width-3: better than Nisan's?

## width-3 and width-4 branching programs

Some bottlenecks:

- Small bias distributions are not pseudorandom for width-3 branching programs

Counterexample: uniform distribution over bit strings whose number of ones is a multiple of 3

- Width-4 branching programs can compute read-once polynomials mod 2 and mod 3

Open to construct optimal pseudorandom sets for read-once polynomials

## hitting sets

Recently [Sima-Zak '10] give a  $n^{O(1)}$ -size hitting set construction for width-3 branching programs, for sufficiently large constant probability parameter.

Construction: start from the support  $X$  of a  $\frac{1}{n^{O(1)}}$ -biased distribution, and consider set of strings of hamming distance at most 2 from  $X$

## a sample of questions

- Given a CNF formula, and promise that a 1% fraction of assignments satisfy it, find such an assignment in polynomial time

Sufficient to look at support of a  $\frac{1}{n^{O(1)}}$ -biased distribution?

## a sample of questions

- Given a CNF formula, and promise that a 1% fraction of assignments satisfy it, find such an assignment in polynomial time

Sufficient to look at support of a  $\frac{1}{n^{O(1)}}$ -biased distribution?

- Construct an  $\epsilon$ -Hitting Set Generator of polynomial size of every  $\epsilon$  of polynomial size

Sima-Zak construction works for all constant  $\epsilon > 0$ ?

## a sample of questions

- Given a CNF formula, and promise that a 1% fraction of assignments satisfy it, find such an assignment in polynomial time

Sufficient to look at support of a  $\frac{1}{n^{O(1)}}$ -biased distribution?

- Construct an  $\epsilon$ -Hitting Set Generator of polynomial size of every  $\epsilon$  of polynomial size

Sima-Zak construction works for all constant  $\epsilon > 0$ ?

- Improve Nisan's pseudorandom generator for width-3 branching programs

XOR of two small-bias distributions?