# On the Construction of One-Way Functions from Hverage Case Hardnoss 

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## Cood riddllos

- What makes a riddle a good riddle?
- Here's a riddle:
- Three turtles are walking in the desert.
- $1^{\text {st }}$ one says: "Behind me are two turtles."
- $2^{\text {nd }}$ one says: "In front of me is one turtle, and behind me is another one."
- $3^{\text {rd }}$ one says: "In front of me are two turtles, and behind me is one."
- How is this possible?
- A good riddle is a riddle that is hard, but for which the one telling it knows the solution (otherwise it's just an annoying question).
- One-way functions (OWF) are functions that are easy to compute, but hard on average to invert.
- OWF's are necessary for nearly all crypto, and sufficient for a lot.
- Since the existence of OWF implies $P \neq N P$, a line of work studied the possibility of proving the existence of OWF based on the assumption that $\mathrm{P} \neq \mathrm{NP}$ [Brassard '79, Feigenbaum\&Fortnow '93, Bogdanov\&Trevisan '03, AGGM '06].
- Bottom line: certain types of reductions cannot reduce the security of certain types of OWF's to $\mathrm{P} \neq \mathrm{NP}$ (under some assumptions).


## Our starting point

- Such reductions attempt to overcome two challenges at once:

$$
P \neq \mathrm{NP}) \text { average-case hardness ) OWF }
$$

worst-case to average-case reduction
(Average-case hardness (ACH): A search problem R and a sampler $S$ where $R$ is hard on average under $S$.)

- Since the first challenge is hard by itself, it is inviting to study the second:

Can we prove ACH implies OWF?

## Gan we prove ACH implies OWFP

Suppose we have a relation $R$ that is hard under a sampler $S$, and we want to prove the existence of OWF. 2 approaches:

1. For some candidate OWF, reduce its security to the hardness of $R$ under $S$.
2. Construct a OWF from (R,S).

## Gan we prove ACH implies OWF?

Why?
The OWF maps the randomness for $\mathrm{S}^{*}$, to the instance only (without the solution). If one could retrieve the randomness given the instance, he could run $S^{*}$ on that randomness and obtain the pair.
"If we can sample ho allenges for w answers, then OWF ex s."
is hard under a sampler S , e of OWF. 2 approaches:

- R need not be poly-time verifiable.
- $S^{*}$ need not output only YESinstances. we know the
"If there exists a search problem $R$ and a poly-time sampler $S^{*}$ that outputs instance-solution pairs of $R$, where the distribution on the instances is hard on average, then OWF's exist."


## The approach for proving ACH implies OWF



- Question: When can a regular sampler be "transformed" into a pairs sampler?

Under some standard assumption (which is weaker than the existence of OWP):

Roughly: For every polynomial $p$, there is a pair $(R, S)$ that cannot be transformed into a pairs sampler $\mathrm{S}^{*}$ with randomness complexity p.

Under some standard assumption (which is weaker than the existence of OWP):

There exists a sampler S s.t. for any (arbitrarily large) polynomial $p$ and any (arbitrarily small) super-polynomial function $f$ there exists a search problem $R$ s.t.:

- $R$ is hard under $S$;
- $R$ is polynomially bounded and is verifiable in time $f(n)$;
- There is no efficient pairs sampler $S^{*}$ for ( $R, S$ ):
- If the $1^{\text {st }}$ element output by $S^{*}$ is an R-YES-instance, then the $2^{\text {nd }}$ is a solution;
- The marginal distribution on the $1^{\text {st }}$ elements dominates $S$.
- S* has randomness complexity p.
- Our assumption: There exists ( $\mathrm{R}^{\prime}, S^{\prime}$ ) with some properties (in particular $R^{\prime}$ is hard under $S^{\prime}$ ). Implied by OWP.
- Based on ( $R^{\prime}, S^{\prime}$ ), we construct ( $R, S$ ).
- We assume an $S^{*}$ exists for ( $R, S$ ), and show that $R^{\prime}$ is not hard under $S^{\prime}$, in contradiction.
- The crux: R and S are constructed such that:
- $(R, S)$ "inherit" the hardness of ( $\left.R^{\prime}, S^{\prime}\right)$;
- $R$ ' is "embedded" in $R$, and $S$ "imitates" $S^{\prime}$;
- Any $S^{*}$ for $(R, S)$ enables solving $R^{\prime}$ in the worst case:

$$
\begin{aligned}
& \{(\circlearrowleft, x),(w, \infty)\} 2 R \\
& )\{x, w\} 2 R^{\prime}
\end{aligned}
$$

Any $S^{*}$ for ( $R, S$ ) enables solving $R^{\prime}$ in the worst case:

- Clearly, S* enables obtaining random R-instance-solution pairs (and thus random $\mathrm{R}^{\prime}$-instance-solution pairs);
- The solution in each pair helps obtaining randomness for a new pair, where the $\mathrm{R}^{\prime}$-instance is a little closer to any desired instance;
- Thus, given some instance $x$ of R', using $S^{*}$ we start with a random pair of $R$ (and thus a random embedded instance of R'), and have the embedded R'-instance become closer and closer to x ;
- When reaching an R -instance with x embedded in it, the R solution contains an R'-solution for x .
$\{(\hookleftarrow, x),(w, \infty)\} 2 R)\{x, w\} 2 R^{\prime}$


## Proof by animation

"If $\mathrm{S}^{*}$ exists (for $\mathrm{R}, \mathrm{S}$ ) then R' can be solved in the worstcase."

## Suppose we want to solve $x$ under R'.

But, we want to diagonalize against all possible $S^{* \prime}$...
$S^{*}$ should dominate $S$. So we let S output any sampler with noticeable prob.

This "enforces" any potential S* to output any sampler with noticeable prob.
randomness
input: 1

$\left(\circlearrowleft, x^{(0)}\right)$ is an R-YES-instance
$)\left\{\left(\infty^{\prime} x^{(0)}\right),\left(w^{(0)}, \infty\right)\right\} 2 R$
$)\left\{x^{(0)}, w^{(0)}\right\} 2 R^{\prime}$

This will help us find $x^{(1)}$ that is Hamming-closer to $x$.

$$
S^{*}\left(r^{(0)}\right)=\left\{\left(S^{\star}, x^{(0)} \oplus e_{i}\right), \infty\right\}
$$

## Definition of R and S

We're given $R^{\prime}, S^{\prime}$.
Definition of R :
$R:\left\{(\langle M\rangle, x),\left(w, r_{1}, \ldots, r_{|x|}\right)\right\}$ is in $R$ iff:

- w is a solution for x under R';
- For all $i$, on input $r_{i}$ the machine $M$ outputs a pair where the $1^{\text {st }}$ element is $\left(\langle M\rangle, x \oplus e_{i}\right)$ (in at most $f(|x|)$ steps);
- For all $i,\left|r_{i}\right| \leq p(|x|)$.


## Definition of R and S

We're given $R^{\prime}, S^{\prime}$.
Definition of S :
On input $1^{\mathrm{n}}$ :

1. Choose i uniformly from $[0, \mathrm{n}]$.
2. Choose a potential sampler $\langle M\rangle$ uniformly from $\{0,1\}^{\mathrm{j}}$.
3. Choose x of length $\mathrm{n}-\mathrm{i}$ according to the distribution of S'.
4. Output ( $\langle M\rangle, x$ ).

Note: $\operatorname{Pr}\left[S\left(1^{n}\right)=(\langle M\rangle, x)\right.$ for some $\left.x\right]=(n+1)^{-1} 2^{-|\langle M\rangle|}$.
"Every machine is output by $S$ with noticeable probability."

## Elahorating the proof [and the assumption]

We assume $\mathrm{S}^{*}$ exists for $(\mathrm{R}, \mathrm{S})$ and solve $\mathrm{R}^{\prime}$ in the worst case: On input $x$ :

1. Run $S^{*}\left(1\left|\left\langle S^{*}\right\rangle\right|+|x|\right)$ repeatedly until it outputs a pair of the form $\left\{\left(\left\langle S^{*}\right\rangle, x^{(0)}\right), y\right\}$.
S outputs $\left\{S^{*}\right\rangle$ with noticeable probability, $S^{*}$ dominates $S$
) S* outputs $\left\langle S^{*}\right\rangle$ with noticeable probability
) Step 1 takes expected poly time

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On input $x$ :

1. Run $S^{*}\left(1\left|\left\langle S^{*}\right\rangle\right|+|x|\right)$ repeatedly until it outputs a pair of the form $\left\{\left(\left\langle S^{*}\right\rangle, x^{(0)}\right), y\right\}$.
We want ( $\left.\left(S^{*}\right), x^{(0)}\right)$ to have an $R$-solution.
a) We assume some properties on $R^{\prime}$ and $S^{\prime}$.
b) These yield some properties of $R, S$.
c) These yield that all involved instances are YES-instances. The existence of ( $R^{\prime}, S^{\prime}$ ) with these properties is implied by the existence of onto OWF (and OWP).

## Elahorating the proof [and the assumption]

We assume $S^{*}$ exists for ( $R, S$ ) and solve $R^{\prime}$ in the worst case:
On input x:

1. Run $S^{*}\left(1\left|\left\langle S^{*}\right\rangle\right|+|x|\right)$ repeatedly until it outputs a pair of the form $\left\{\left(\left\langle S^{*}\right\rangle, x^{(0)}\right), y\right\}$.
2. Parse $y$ to $\left(w^{(0)}, r^{(0)}{ }_{1}, \ldots, r^{(0)}{ }_{n}\right)$.
3. Let $i_{1}, \ldots, i_{h}$ be the bits that are different between $x^{(0)}$ and $x$.

For $\mathrm{j}=1$ to h :
Use $r^{(j-1)} i_{j}$ as randomness for $S^{*}$ to obtain output

$$
\left\{\left(\left\langle S^{*}\right\rangle, x^{(j)}\right),\left(w^{(j)}, r^{(j)}{ }_{1}, \ldots, r^{(j)}\right)\right\} .
$$

4. Output $w^{(h)}$.

## Interpretation of our result

- We asked: When can a regular sampler be "transformed" into a pairs sampler?
- We saw: (Under some assumption): There is a (universal) sampler that for any polynomial randomness bound cannot be transformed into a pairs-sampler with that randomness WRT some R.
- "Transformed samplers (S*) can require arbitrarily large polynomial randomness."
- A "generic" transformation: Given any $S$ that is hard for some (reasonable) R, transform it to a pairs sampler that uses randomness that depends only on S.
- A generic transformation does not exist.


