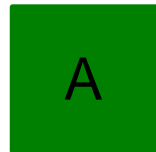


Hard instances for satisfiability and quasi-one-way functions

Andrej Bogdanov and Kunal Talwar and Andrew Wan

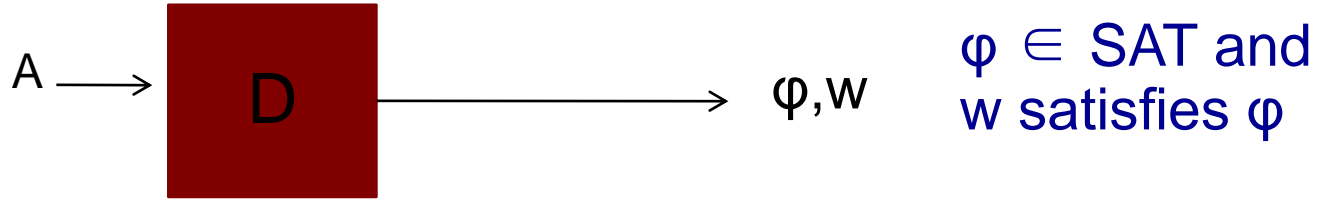
“Dreambreakers”



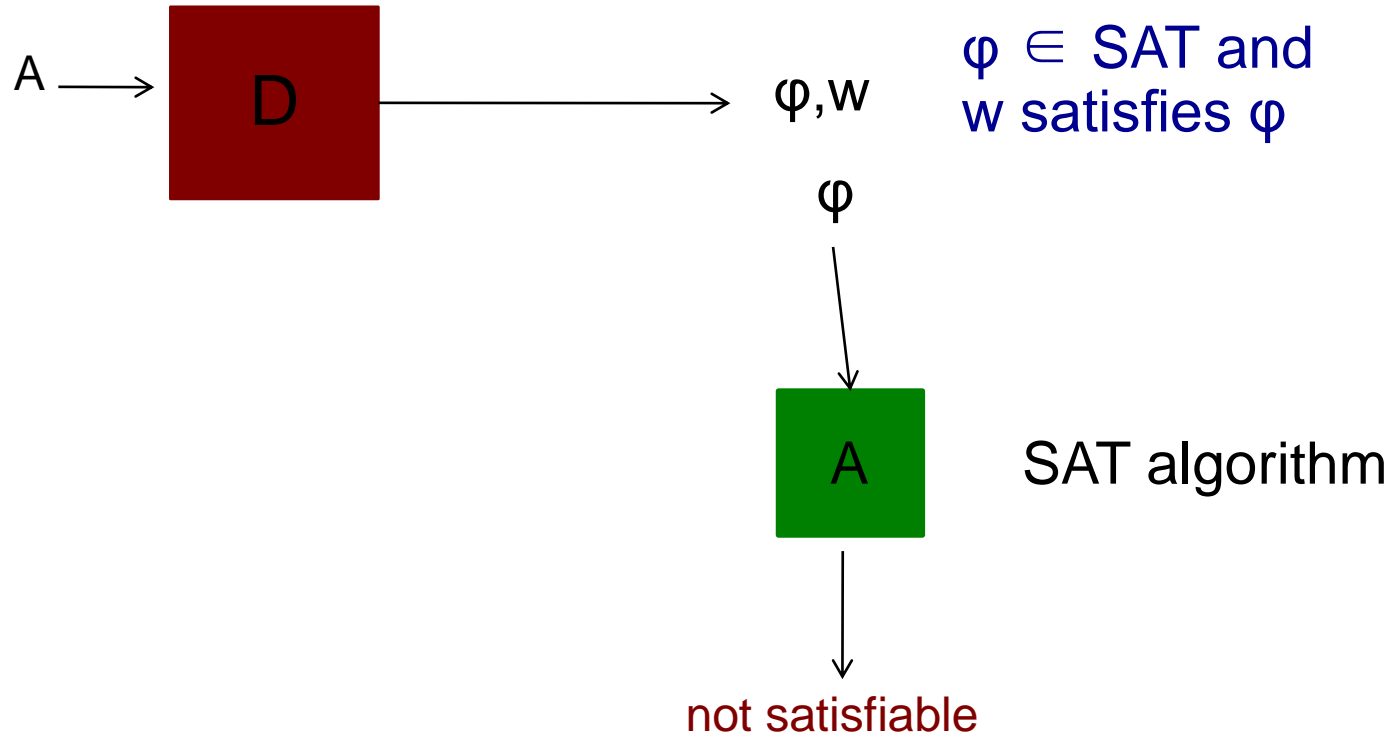
SAT algorithm

If $P \neq NP$, then A must fail.

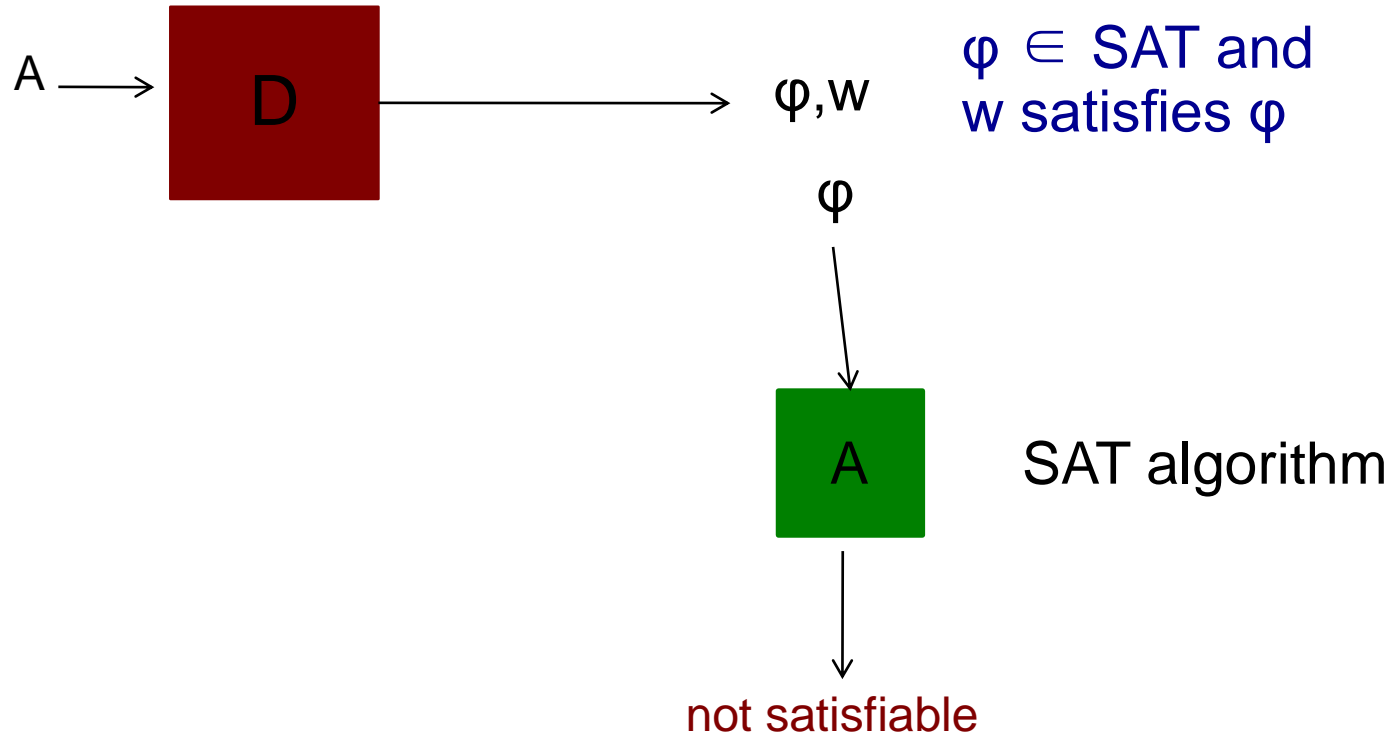
“Dreambreakers”



“Dreambreakers”



“Dreambreakers”



“SAT solvers” are widely used in software verification, AI, and operations research.

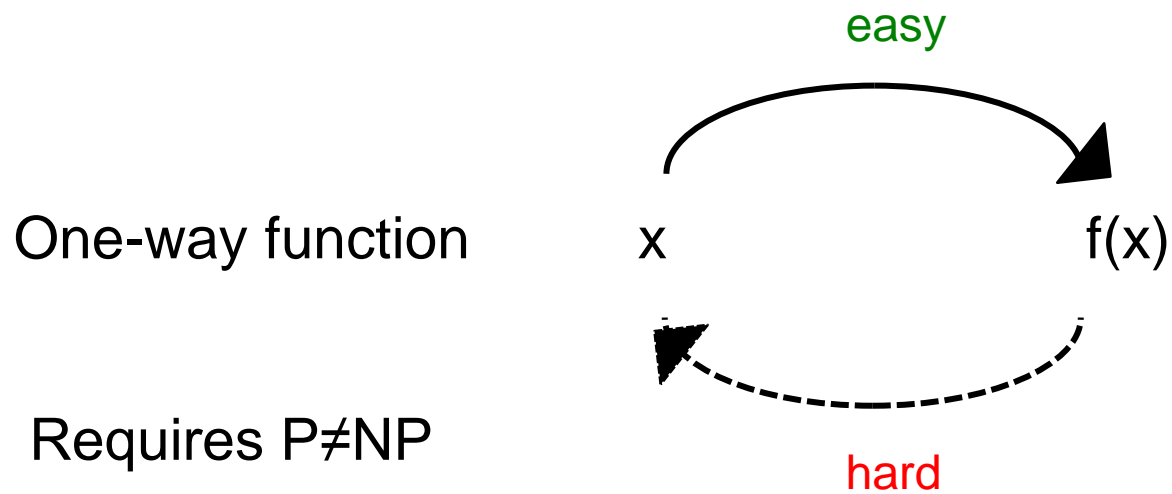
This work

- Construct dreambreakers
- Explore relationship to cryptography

Outline

- Cryptographic motivation
- Construction of dreambreakers
- Dreambreakers and OWFs
- Dreambreakers and PRGs

Cryptography and Hardness Assumptions



Does $P \neq NP$ imply cryptography?

Impagliazzo's five worlds



Impagliazzo's five worlds



~~Algorithmica~~

Minicrypt

Pessiland

Heuristica

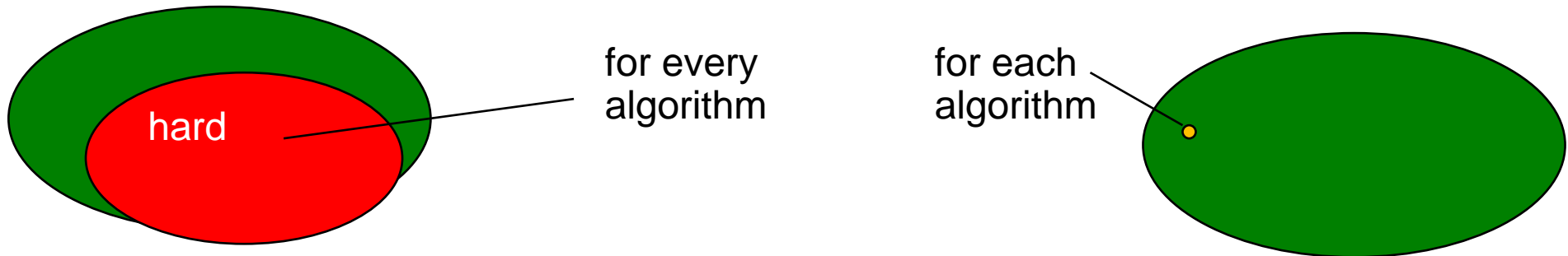
Cryptomania

Can we rule out Heuristica or Pessiland?

Cryptography and Hardness Assumptions

Enormous obstacle: Ruling out Heuristica [FF93,BT03,AGGM06]

i.e., obtaining *average*-case hardness from *worst*-case hardness



Other Barriers: ruling out Pessiland

[Imp95] Pessiland--average-case hardness but no cryptography.

May have a hard distribution over SAT, but how can we turn this into a one-way function?

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[Imp95] Pessiland--average-case hardness but no cryptography.

May have a hard distribution over SAT, but how can we turn this into a one-way function?

Fact: OWFs **imply** ability to sample hard instances of problems AND their solutions.

Given OWF **f** choose random “solution” **x**, and “problem” **f(x)**

Other Barriers: ruling out Pessiland

[Imp95] Pessiland--average-case hardness but no cryptography.

May have a hard distribution over SAT, but how can we turn this into a one-way function?

Fact: OWFs **imply** ability to sample hard instances of problems AND their solutions.

Question: If we can sample hard instances, can we sample their solutions?

$P \neq NP$ and Heuristica revisited

[GST05]

$P \neq NP$, but algorithm A that solves SAT on every efficiently samplable distribution D ?

Super-Heuristica



P≠NP and Algorithmica revisited

[GST05]

$P \neq NP$, but algorithm A that solves SAT on every efficiently samplable distribution D ?

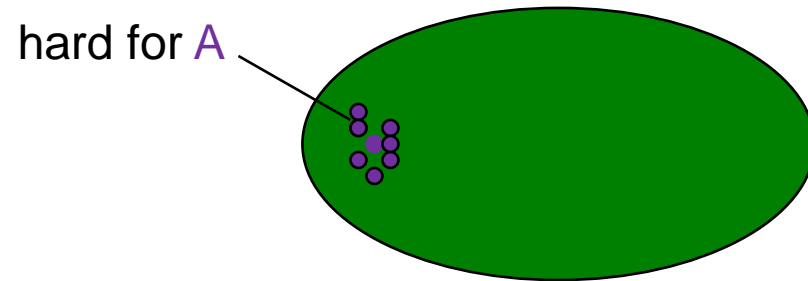
Thm: If $P \neq NP$, for any decision algorithm A , there is an efficiently samplable distribution D_A that is hard for A .

Super-Heuristica



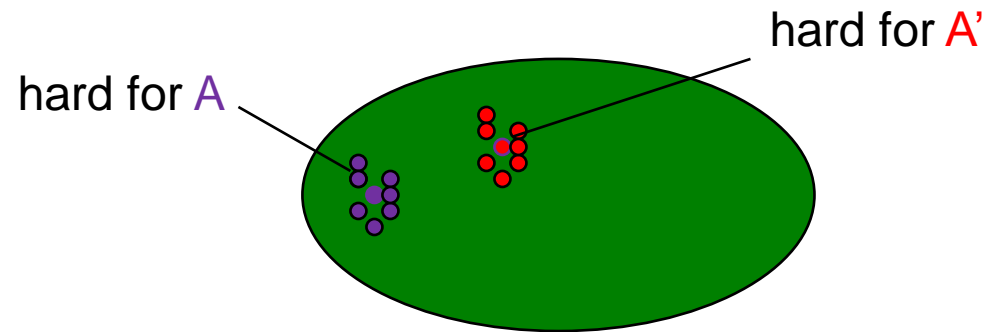
$P \neq NP$ and Algorithmica revisited

Thm: [GST05] If $P \neq NP$, for any decision algorithm A , there is an efficiently samplable distribution D_A that is hard for A



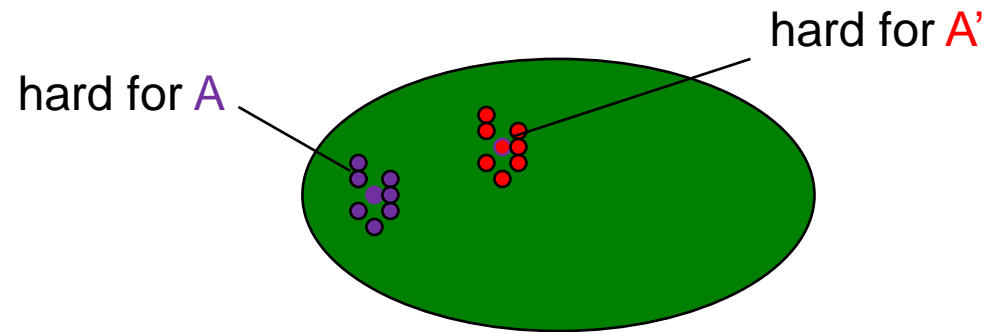
$P \neq NP$ and Algorithmica revisited

Thm: [GST05] If $P \neq NP$, for any decision algorithm A , there is an efficiently samplable distribution D_A that is hard for A , for A'



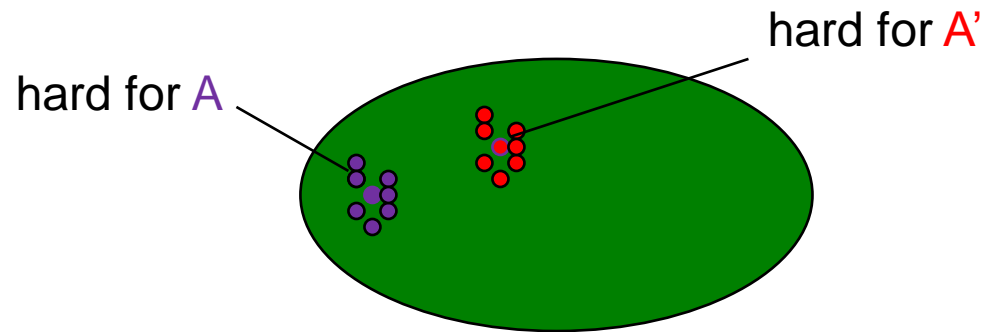
$P \neq NP$ and Algorithmica revisited

Thm: [GST05] If $P \neq NP$, for any decision algorithm A , there is an efficiently samplable distribution D_A that is hard for A , for A' , etc.



$P \neq NP$ and Algorithmica revisited

Thm: [GST05] If $P \neq NP$, for any decision algorithm A , there is an efficiently samplable distribution D_A that is hard for A , for A' , etc.



Open Question [GST05]*: (dreambreakers) Can we sample hard formulas AND their satisfying assignments?

* suggested by Adam Smith

Summary: cryptographic motivation

Does $P \neq NP$ imply OWFs?

If $P \neq NP$: can we **sample** hard instances, and
can we **sample** their solutions?

If $P \neq NP$: can we **weakly sample** hard instances [GST05], and
can we **weakly sample** their solutions?

Summary: cryptographic motivation

Does $P \neq NP$ imply OWFs?

If $P \neq NP$: can we **sample** hard instances, and
can we **sample** their solutions?

If $P \neq NP$: can we **weakly sample** hard instances [GST05], and
can we **weakly sample** their solutions?

Can we build dreambreakers?

Our work: construct dreambreakers

Thm: If $P \neq NP$, there is poly-time procedure **D**, for any poly-time search algorithm **A** :

$$D(1^n, 1^{t(n)}, A) \rightarrow (\varphi, w) \quad |\varphi|=n$$

And for infinitely many n ,

- φ satisfied by w , and
- $A(\varphi)=0$

Our work: dreambreakers exist

Thm: If $P \neq NP$, there is poly-time procedure D , for any poly-time search algorithm A :

$$D(1^n, 1^{t(n)}, A) \rightarrow (\varphi, w) \quad |\varphi|=n$$

And for infinitely many n ,

- φ satisfied by w , and
- $A(\varphi)=0$

Probabilistic version

Corollary: (Quasi-hard samplers) Sampler S which takes $1^n, 1^{t(n)}$ and outputs (φ, w) hard for every p.p.t. running in time $t(n)$.

Sampling algorithms

In [GST05]: Diagonalize--Run A on formula that describes success of A on smaller instances.

Use A to find instances on which it **fails**.

We also use A to find **solutions** to instances on which it **fails**!

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Quasi-hard samplers and Cryptography

How does this relate to our cryptographic motivation?

- 'Hard' distribution, but sampler **S** takes more time than the adversaries it fools
- compare to sampling in fixed polynomial time to fool all poly-time algorithms
- much weaker notion of avg case hardness

Quasi-hard samplers and Cryptography

How does this relate to our cryptographic motivation?

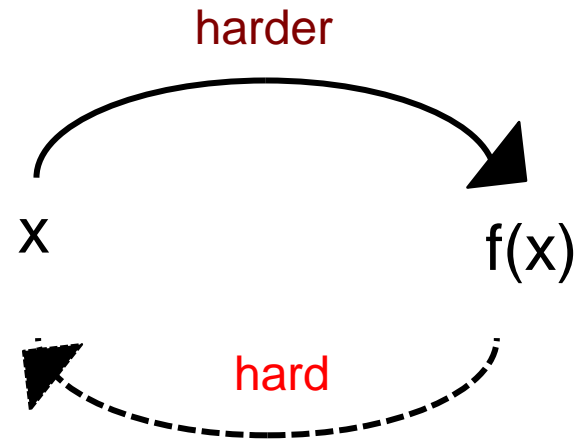
- 'Hard' distribution, but sampler **S** takes more time than the adversaries it fools
- compare to sampling in fixed polynomial time to fool all poly-time algorithms
- much weaker notion of avg case hardness

[GT07] This weaker notion still 'contradicts' barriers outlined in [BT,FF]

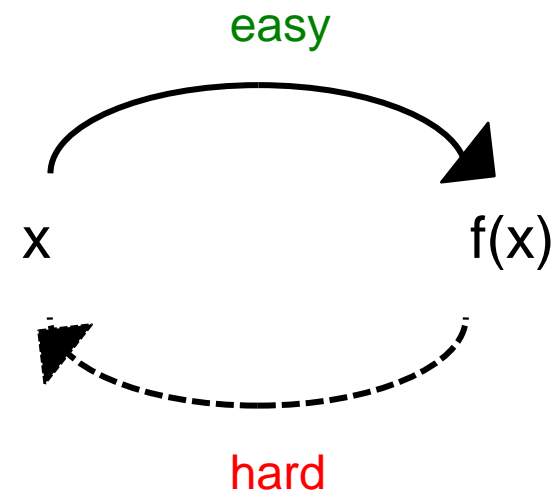
Can we achieve cryptographic primitives for this weaker notion of avg case hardness? How should we define them?

Quasi-OWFs

- Somewhat hard to invert
- Harder to compute

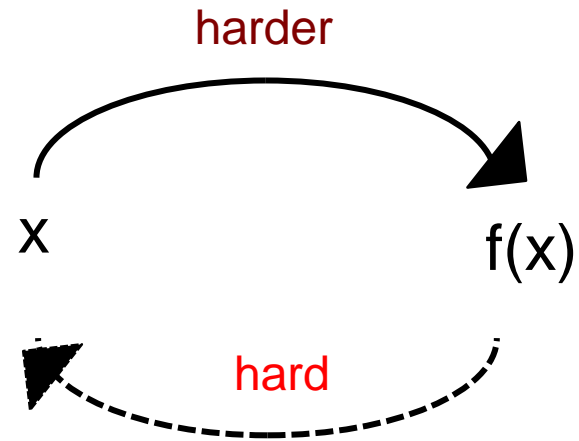


OWFs

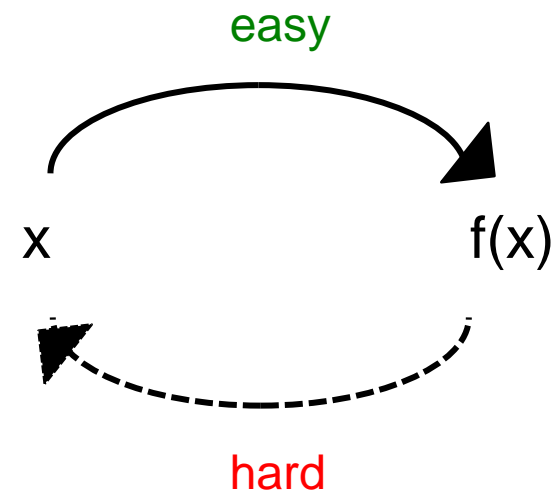


Quasi-OWFs

- Somewhat hard to invert
- Harder to compute
- Useless?

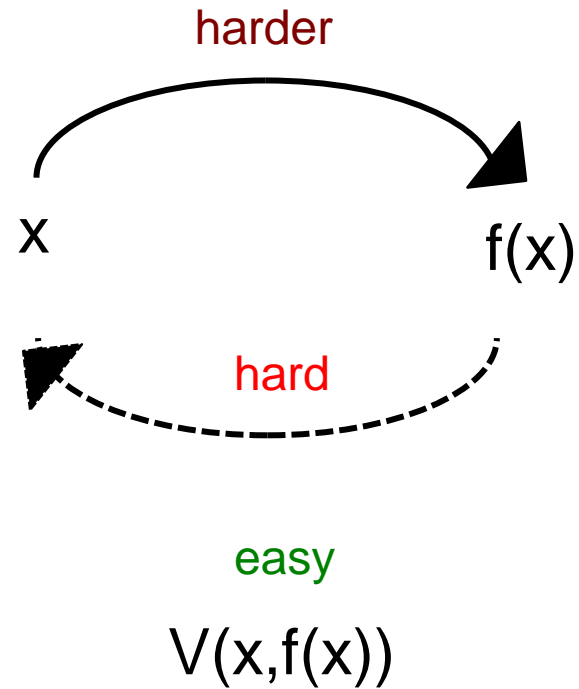


OWFs



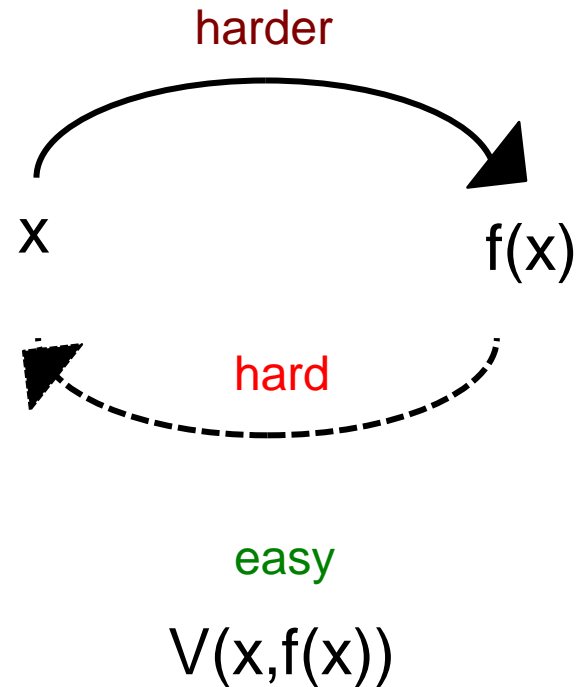
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Quasi-OWFs

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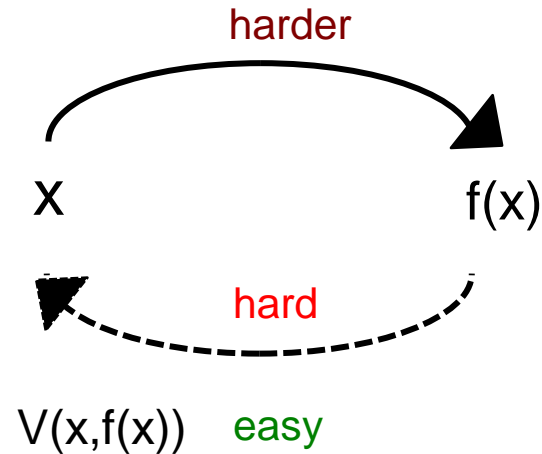


Without verifier condition, exist unconditionally

Quasi-one-way functions imply $P \neq NP$

Non-trivial aspect of easiness-hardness contrast

Quasi-OWFs



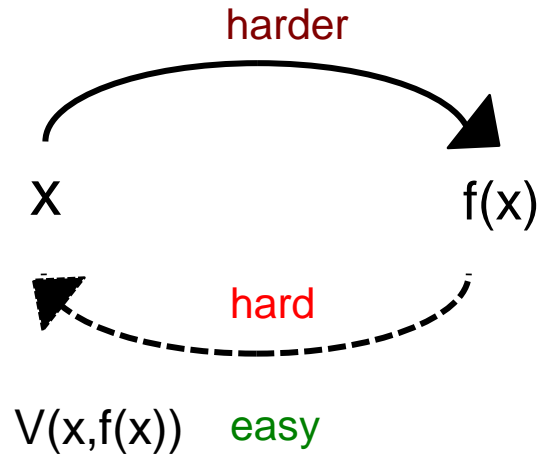
Def: Fix a polynomial $t_V(n)$ and let $t(n) > t_V(n)$. A poly-time function f is quasi-one-way against time $t(n)$ with verifier V (running in time $t_V(n)$) if for every x :

(easy to verify) $V(x, f(x)) = 1$,

and for every algorithm A running in time $t(n)$,

(hard to invert) $\Pr_x[V(A(f(x)), f(x)) = 1] < 1/t(n)$.

Quasi-OWFs



Def: Fix a polynomial $t_V(n)$ and let $t(n) > t_V(n)$. A poly-time randomized function f is quasi-one-way against time $t(n)$ with verifier V (running in time $t_V(n)$) if for every x :

$$\text{(easy to verify)} \quad V(x, f(x)) = 1,$$

and for every probabilistic algorithm A running in time $t(n)$,

$$\text{(hard to invert)} \quad \Pr_{x,A}[V(A(f(x)), f(x)) = 1] < 1/t(n).$$

Quasi-OWFs

Thm: If $NP \not\subseteq BPP$ then for any poly $t(n)$, quasi-OWFs against time $t(n)$ exist.

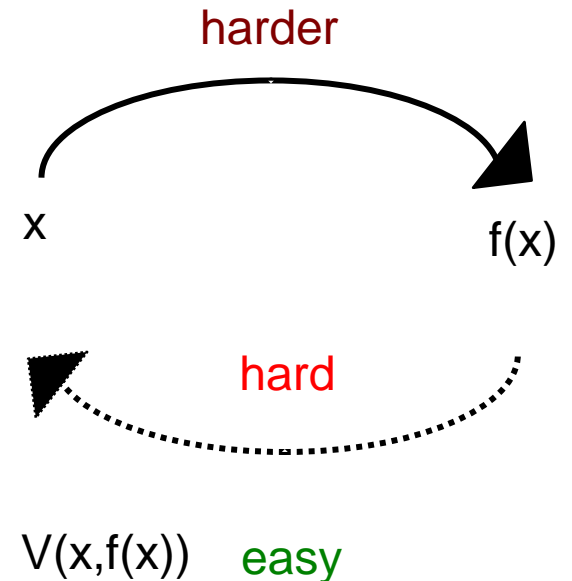
$$f: \{0,1\}^n \rightarrow \{0,1\}^{2n}$$

Use quasi-hard sampler S :

$$S(1^{p(t(n))}) \rightarrow \varphi, w$$

$$f(r) = (\varphi, w+r)$$

Verifier: $V(r, (\varphi, w))$ accepts if $(\varphi, w) = '0'$ or $r+w$ satisfies φ



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Quasi-OWFs and PRGs

PRG with stretch k : $G:\{0,1\}^n \rightarrow \{0,1\}^{n+k}$ $G(U_n) \approx U_{n+k}$

Generator more time than adversary

Well motivated application: algorithmic derandomization

Quasi-OWFs and PRGs

PRG with stretch k : $G:\{0,1\}^n \rightarrow \{0,1\}^{n+k}$ $G(U_n) \approx U_{n+k}$

Generator more time than adversary

Well motivated application: algorithmic derandomization

PRGs against time $t(n)$ running in time $\text{poly}(t)$
implies derandomization from $P \neq NP$

Quasi-OWFs and PRGs

PRG with stretch k : $G:\{0,1\}^n \rightarrow \{0,1\}^{n+k}$ $G(U_n) \approx U_{n+k}$

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Well motivated application: algorithmic derandomization

Can we use quasi-one-way functions to construct PRGs?

does this follow from [HILL] or other standard constructions?

Quasi-OWFs and PRGs

Can we use quasi-one-way functions to construct PRGs?

does this follow from [HILL] or other standard constructions?

Thm: Not using standard constructions
(black box reductions from inverting to
distinguishing)

Inverter needs to evaluate the OWF.

Summary/Conclusions

- Showed that dreambreakers exist, defined and constructed quasi-one-way functions
- Some methods we take for granted in normal setting (like OWF \rightarrow PRGs) don't work in this new setting

Open Problems

- Build PRGs using quasi-hard samplers?
- Applications? bit commitments, proof systems...
- Hard core predicates, uniform output, hardness amplification, stronger definitions of quasi-OWFs that give the adversary has more power?

This work

- Construct dreambreakers
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Outline

- Cryptographic motivation
- Dreambreakers and OWFs
- Quasi-OWFs and PRGs
- Construction of dreambreakers

Sampling algorithms

In [GSTS05]:

$\Phi_n \approx$ “There is a formula w_n of size n such that $A(w_n)=0$ but $\text{SAT}(w_n)=1$.”

Run $A(\Phi_n)$



```
graph TD; A[Run A(Phi_n)] --> B[If succeed, can extract formula w_n of length n and witness a_n s.t.]; A --> C[Fail];
```

If succeed, can extract formula w_n of length n and witness a_n s.t.

$A(w_n)=0$
 a_n satisfies w_n

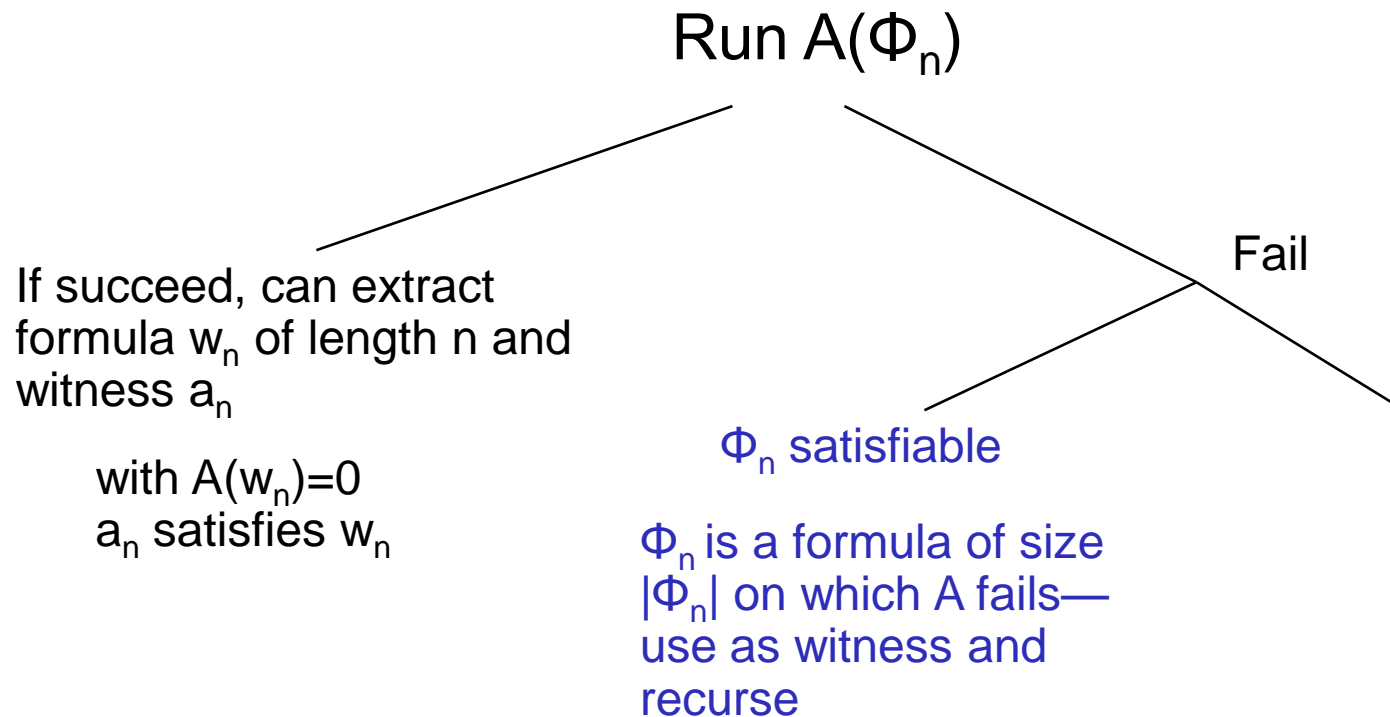
Fail

Sampling algorithms

Warm up: deterministic case

In [GSTS05]:

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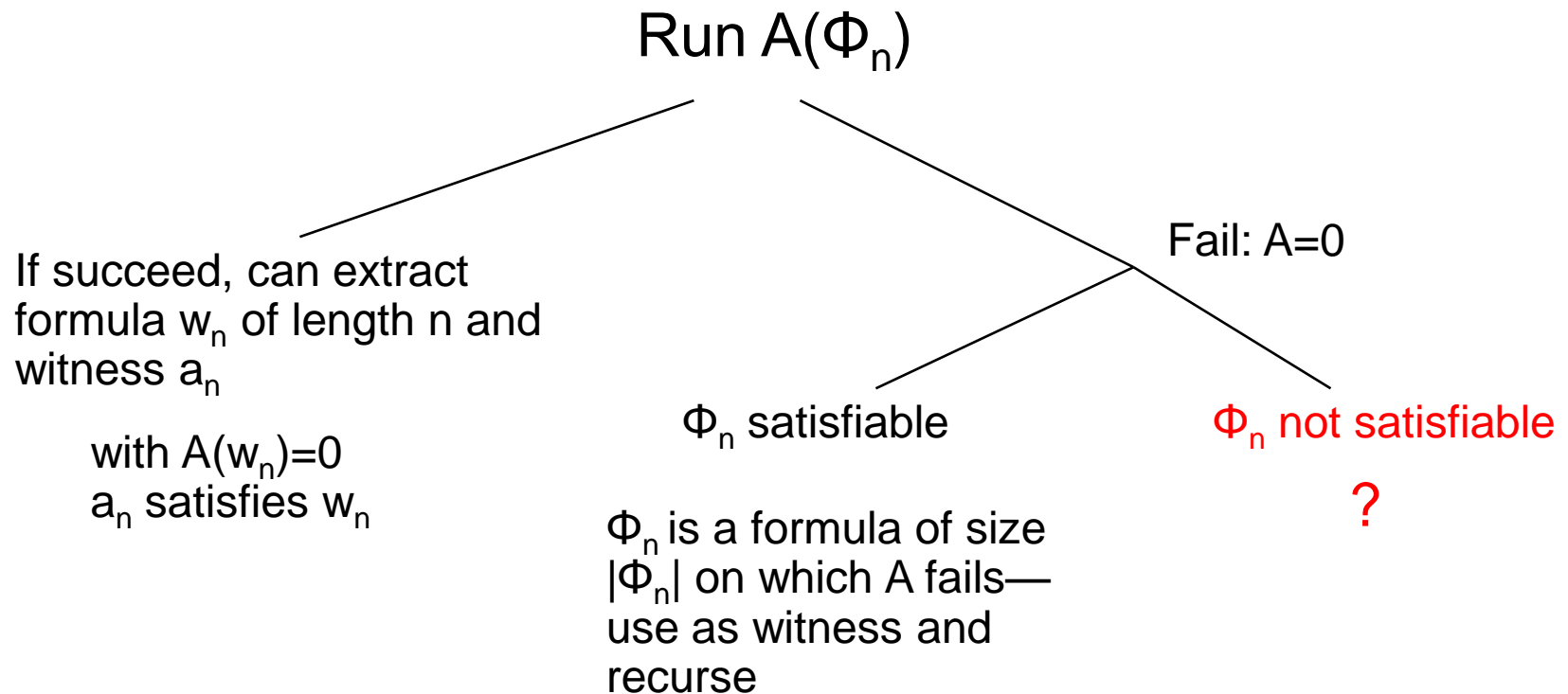


Sampling algorithms

Warm up: deterministic case

In [GSTS05]:

$\Phi_n \approx$ “There is a formula w_n of size n such that $A(w_n)=0$ but $\text{SAT}(w_n)=1$.”



Sampling algorithms

$P \neq NP \rightarrow \Phi_n$ is satisfiable i.o.

why would A succeed on these?

Solution: Redefine Φ_n so that when A fails on an instance of size n : all $\Phi_{n'}$ for $n' > n$ are in SAT until A fails again.

$\Phi_n =$ "There is a formula w_N of size N for $n^{1/k} < N \leq n$ such that $A(w_N)=0$ but $SAT(w_N)=1$."

If Φ_n is of size $q(n)$ set k so that $q(x) < (x-1)^k$

Sampling algorithms

Solution: Redefine Φ_n so that when A fails on an instance of size n : all $\Phi_{n'}$ for $n' > n$ are in SAT until A fails again.

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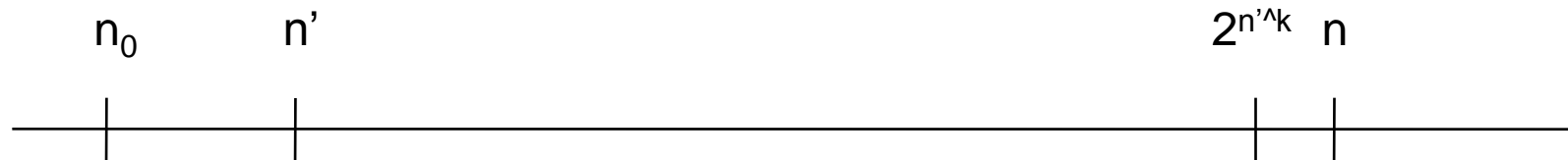
Two cases:

If A finds assignments to Φ_n infinitely often we are done.

Give a sampler for the case that A “fails” on almost all Φ_n .

Sampling algorithms

Give a sampler for the case that A fails on almost all Φ_n .



A fails on all Φ_N for $N > n_0$

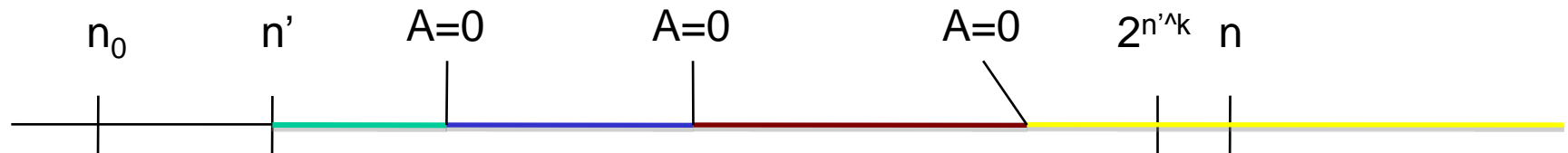
n' first input size $> n_0$ such that $\Phi_{n'} \in \text{SAT}$

Then A makes mistake on $\Phi_{n'}$, so $\Phi_{n'}$ is a good candidate witness:

If $n'' = |\Phi_{n'}|$, then $\Phi_{n'}$ is a good partial assignment for $\Phi_{n''}$

Sampling algorithms

Give a sampler for the case that A fails on almost all Φ_n .



A fails on all Φ_N for $N > n_0$

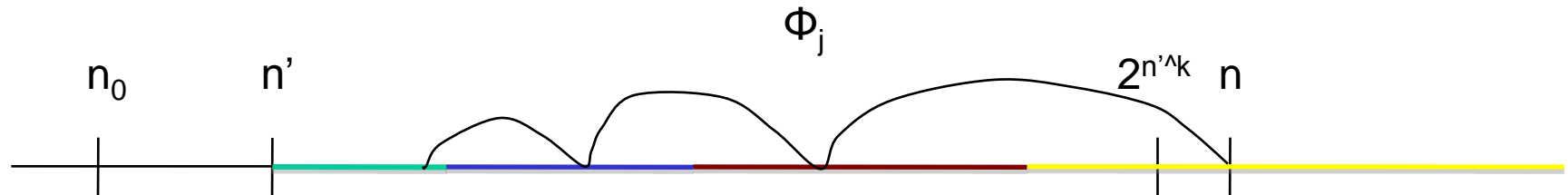
n' first input size $> n_0$ such that $\Phi_{n'} \in \text{SAT}$

Sample for $n > 2^{n'^k}$:

All $\Phi_{n'} \dots \Phi_n$ are in SAT.

Sampling algorithms

Give a sampler for the case that A fails on almost all Φ_n .



A fails on all Φ_N for $N > n_0$

n' first input size $> n_0$ such that $\Phi_{n'} \in \text{SAT}$

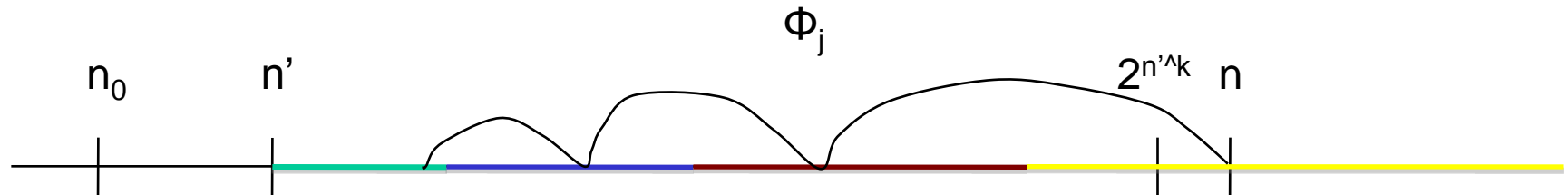
Sample for $n > 2^{n'^k}$:

All $\Phi_{n'} \dots \Phi_n$ are in SAT.

Can use Φ_j as witness for Φ_n when $n^{1/k} < q(j) \leq n$.

Sampling algorithms

Give a sampler for the case that A fails on almost all Φ_n .



A fails on all Φ_N for $N > n_0$

n' first input size $> n_0$ such that $\Phi_{n'} \in \text{SAT}$

Sample for $n > 2^{n'^k}$:

All $\Phi_{n'} \dots \Phi_n$ are in SAT.

Can use Φ_j as witness for Φ_n when $n^{1/k} < q(j) \leq n$.

Build with smaller Φ_j until exhaustive search.

Sampling for randomized algorithms

$\Phi_{n,r} \approx$ “There is a formula w_N of size N for $n^{1/k} < N \leq n$ such that $A'(w_n, r)=0$ and $\text{SAT}(w_n)=1$.”

$A'(w_n, r)$ result of trying A many times. If A' fails, $\Pr[A(w_n)=0] > 2/3$

Two cases:

If A likely to succeed on significant fraction of $\Phi_{n,r}$ i.o. , we are done.

Build a sampler for case when A likely to fail on most $\Phi_{n,r}$ for almost all n .

Similar algorithm, choose each witness randomly.

What if A fails because $\Phi_{n,r} \notin \text{SAT}$ for most r ?

Sampling if A almost always fails on most $\Phi_{n,r}$



A fails on $\Phi_{N,R}$ for $N > n_0$ and most R 1-p(N)

A makes mistake at length $n' \rightarrow$ most $\Phi_{n',r}$ in SAT. 1-r(n')

What about $\Phi_{q(n'),r}$ --- what fraction is satisfiable?

A fails a 1-p(N) fraction of the time, but only 1-r(n') are satisfiable