

Bounds on the quantum satisfiability threshold

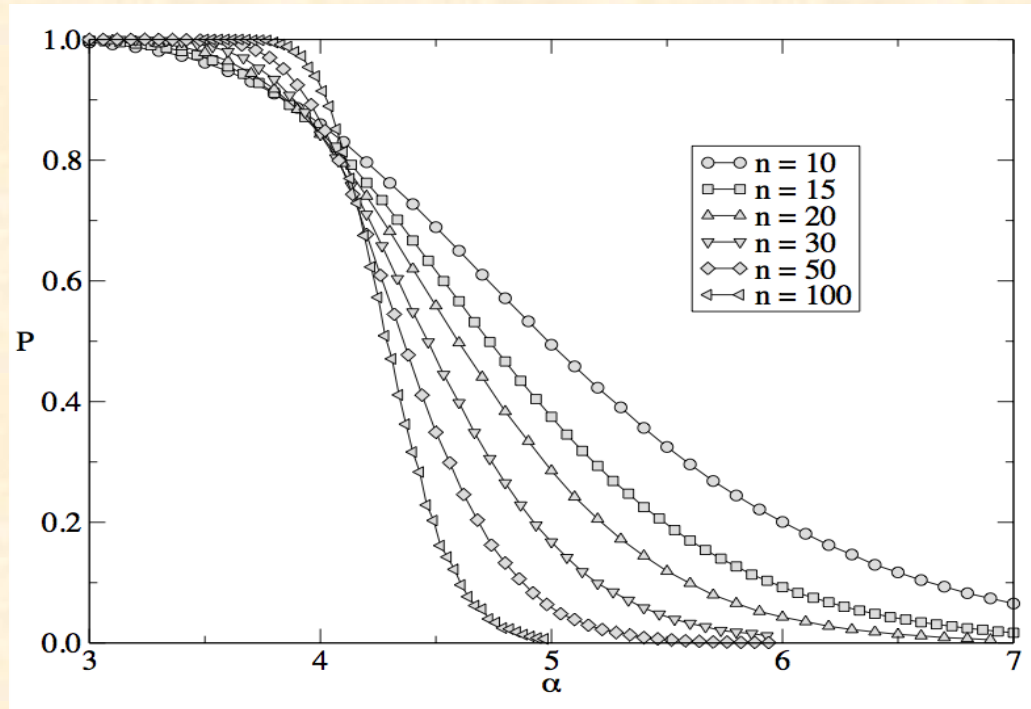
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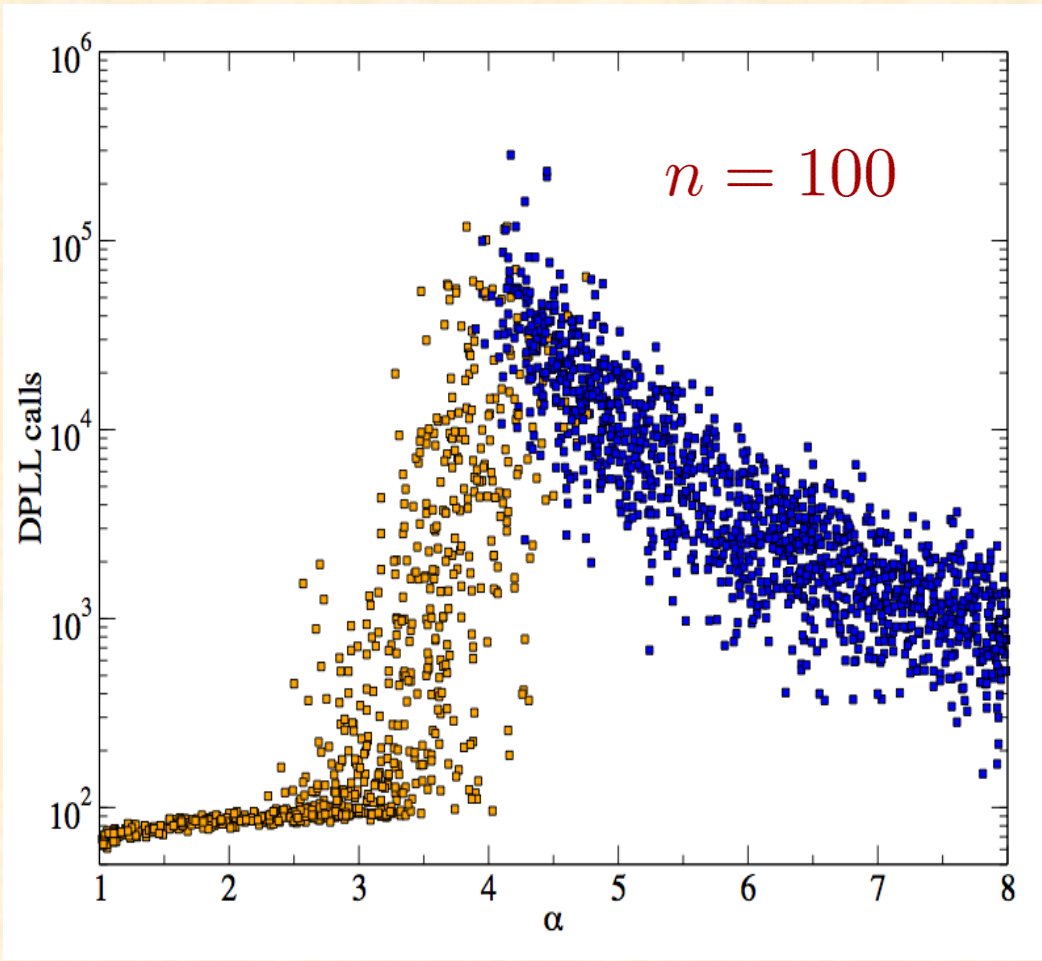
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Random 3-SAT formulas with n variables and $m = \alpha n$ clauses exhibit a phase transition for $\alpha = \alpha_c \approx 4.267$



$$\lim_{n \rightarrow \infty} \Pr[\phi(n, \alpha n) \text{ is satisfiable}] = \begin{cases} 1 & \text{if } \alpha < \alpha_c \\ 0 & \text{if } \alpha > \alpha_c \end{cases}$$

Search times appear to peak at the transition point



classical

quantum

n -bit assignment

$$x \in \{0, 1\}^n$$

A unit vector $\in (\mathbb{C}^2)^{\otimes n}$

$$|\psi\rangle = \sum_{x \in \{0,1\}^n} a_x |x\rangle$$

3-bit clause

$$(x_1 \vee \neg x_2 \vee x_3)$$

forbidden substring 010

Forbidden vector

$$|v\rangle \in \mathbb{C}^2 \otimes \mathbb{C}^2 \otimes \mathbb{C}^2$$

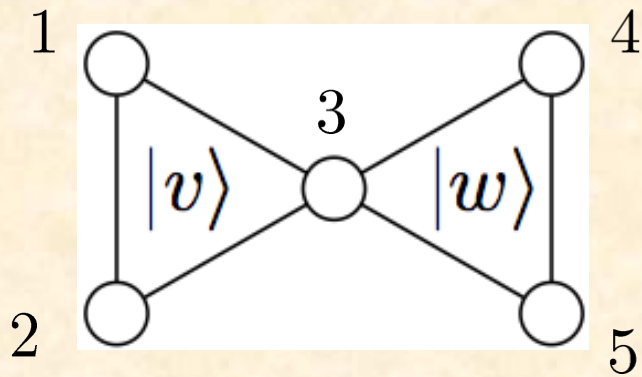
for some triple of qubits

Assignments violating a clause

$$\begin{aligned} &|010\rangle \otimes |00 \dots 0\rangle \\ &|010\rangle \otimes |00 \dots 1\rangle \\ &|010\rangle \otimes |11 \dots 1\rangle \end{aligned}$$

Forbidden subspace

$$\text{span} \left\{ \begin{array}{l} |v\rangle \otimes |00 \dots 0\rangle \\ |v\rangle \otimes |00 \dots 1\rangle \\ \dots \\ |v\rangle \otimes |11 \dots 1\rangle \end{array} \right\}$$



$$V_{\text{forbidden}} = \text{span} \left\{ \begin{array}{l} |v\rangle \otimes |00\rangle, \\ |v\rangle \otimes |01\rangle, \\ |v\rangle \otimes |10\rangle, \\ |v\rangle \otimes |11\rangle, \\ |00\rangle \otimes |w\rangle, \\ |01\rangle \otimes |w\rangle, \\ |10\rangle \otimes |w\rangle, \\ |11\rangle \otimes |w\rangle, \end{array} \right\}$$

The orthogonal complement to the forbidden subspace is called the **satisfying subspace** V_{sat} . It is spanned by n -qubit states orthogonal to every forbidden vector.

The **satisfying rank** $R_{\text{sat}} = \dim(V_{\text{sat}})$ is analogous to the number of satisfying assignment.

A formula is satisfiable iff $R_{\text{sat}} \geq 1$

A quantum k -SAT formula:

- A hypergraph of clauses G . Vertices of G are qubits $1, 2, \dots, n$. Edges of G are k -tuples of qubits.
- A choice of a k -qubit forbidden vector $|v_i\rangle$ for every edge i .

Worst-case complexity of quantum k -SAT:

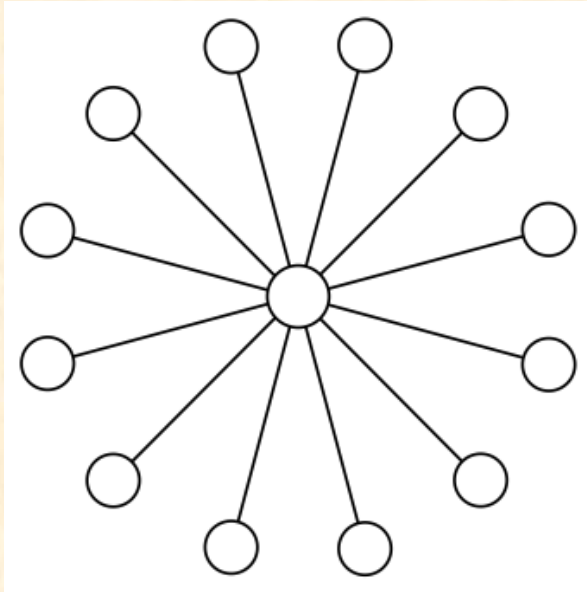
$k = 2$: solvable in time $poly(n)$

$k \geq 4$: complete for QMA_1 (quantum analogue of Merlin-Arthur games with perfect completeness)

$k = 3$: somewhere between NP and QMA_1

S.B. quant-ph/0602108

Quantum k -SAT is more restrictive



2-SAT on a star of degree d

Classical: at least $2^{d/2}$ solutions

Forbid singlets $|v\rangle \sim |0, 1\rangle - |1, 0\rangle$

Satisfying rank $R_{\text{sat}} = d + 2$

Any state orthogonal to a singlet is symmetric the transposition of the two qubits

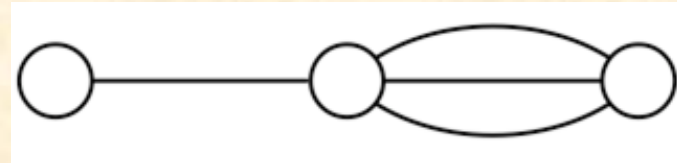
If the graph of clauses is connected, satisfying states must be symmetric under all permutations of qubits

Quantum k -SAT is more restrictive

This 2-SAT formula is satisfiable:



Is this one?



Classical: of course! Use the new variable to satisfy the new clause

Quantum: no! In entangled states single variables don't have values. Similarly, a single variable cannot satisfy entangled clause.

Random quantum k -SAT formulas

(Laumann et al 2009)

Two sources of randomness:

- (1) Random hypergraph with n vertices and $m = \alpha n$ edges.
Edges are chosen uniformly with replacement
- (2) Random forbidden vectors $|v\rangle \in (\mathbb{C}^2)^{\otimes k}$ chosen uniformly from the set of unit vectors

Threshold conjecture:

$$\lim_{n \rightarrow \infty} \Pr[\phi(n, \alpha n) \text{ is satisfiable}] = \begin{cases} 1 & \text{if } \alpha < \alpha_q \\ 0 & \text{if } \alpha > \alpha_q \end{cases}$$

Our contribution: upper bounds on α_q

Generic satisfying rank

Consider first only one source of randomness:

The hypergraph of clauses: **fixed**

The forbidden vectors: **random**

The satisfying rank R_{sat} is a random variable with non-negative integer values

Geometrization Lemma (Laumann et al 09):

R_{sat} takes its smallest possible value with probability 1.

This defines **generic satisfying rank** $R_{\text{sat}}^{\text{gen}}$ for a given hypergraph of clauses

Generic satisfying rank for 2-SAT

Let G be a connected graph of clauses with n vertices

G	generic satisfying rank
a tree	$n + 1$
a cycle	2
a tree with a double edge	2
a triple edge	1
all other graphs	0

Open problem: how to compute $R_{\text{sat}}^{\text{gen}}$ for $k \geq 3$?

Threshold conjecture:

$$\lim_{n \rightarrow \infty} \Pr[\phi(n, \alpha n) \text{ is satisfiable}] = \begin{cases} 1 & \text{if } \alpha < \alpha_q \\ 0 & \text{if } \alpha > \alpha_q \end{cases}$$

Random hypergraph: $R_{\text{sat}}^{\text{gen}}$ becomes a random variable

$\alpha_q < \alpha$ iff $R_{\text{sat}}^{\text{gen}} = 0$ with high probability

$\alpha_q > \alpha$ iff $R_{\text{sat}}^{\text{gen}} \geq 1$ with high probability

We shall get **upper bound** on α_q by deriving a simple upper bound on $R_{\text{sat}}^{\text{gen}}$. This bound involves a decomposition of the hypergraph in terms of simpler hypergraphs (gadgets).

Decomposition of the hypergraph into gadgets:

$$G = G_1 \cup G_2 \cup \dots \cup G_p$$

Each gadget G_i involves one or several clauses acting on some subset of t_i qubits.

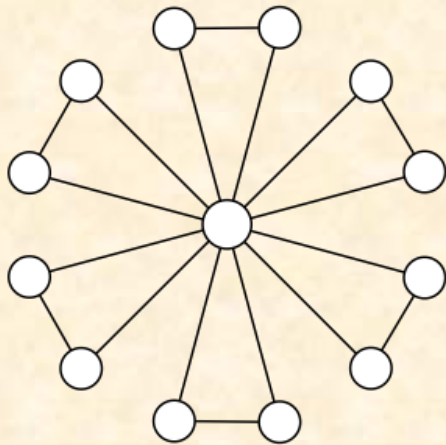
Factorization Lemma

The generic satisfying rank is submultiplicative:

$$R_{\text{sat}}^{\text{gen}}(G) \leq 2^n \prod_{i=1}^p 2^{-t_i} R_{\text{sat}}^{\text{gen}}(G_i)$$

We need a decomposition of G into gadgets that yields upper bound $R_{\text{sat}}^{\text{gen}} < 1$ with high probability.

The Sunflower



This is $(6, 3)$ -sunflower

The generic satisfying rank of the (d, k) -sunflower is

$$R_{\text{sat}}^{\text{gen}} = 2(2^{k-1} - 1)^d \left(\frac{d}{2^k - 2} + 1 \right)$$

Given a decomposition with n_d sunflowers of degree d one gets

$$R_{\text{sat}}^{\text{gen}}(G) \leq 2^n \prod_{d=1}^{\infty} \left(\left(\frac{3}{4} \right)^d \left(\frac{d}{6} + 1 \right) \right)^{n_d} \quad (k = 3)$$

How to partition into sunflowers?

Naive: at each step, choose a random vertex, declare it and its clauses to be a sunflower, and remove them

Continuous time: give each vertex an index $t \in [0, 1]$ and remove in the decreasing order

The degree of a sunflower of index t is the number of clauses whose variables all have index $< t$. This is the Poisson distribution with mean $k\alpha t^{k-1}$. It yields

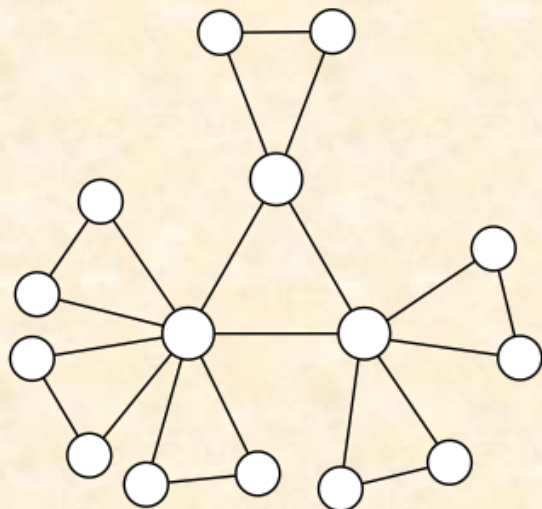
$$\mathbb{E}(n_d) = n \int_0^1 dt \text{Poi}(k\alpha t^{k-1}, d)$$

Assuming that $n_d > \mathbb{E}(n_d) - o(n)$ for $1 \leq d \leq 100$ we get exp. small upper bound on $R_{\text{sat}}^{\text{gen}}$ for $\alpha < 3.894$. It implies

$$\alpha_q < 3.894 < \alpha_c$$

Bigger gadgets: more conflicts, smaller rank

The Nosegay



nosegay

noun

a small bunch of flowers,
typically one that is sweet-scented

$$R_{\text{sat}}^{\text{gen}} = 3^{a+b+c-3} [(a+6)(b+6)(c+6) - (a+3)(b+3)(c+3)]$$

Naive: At each step, choose a random clause, declare it and its neighbors to be a nosegay, and remove them

It gives $\alpha_q \leq 3.594$. This is well below the classical threshold $\alpha_c \approx 4.267$

Open questions

Generic satisfiability: given a hypergraph of clauses, decide whether it is satisfiable for generic choice of forbidden vectors. Is this problem in NP ? Is it NP-hard?

Is there a **satisfiable-but-entangled phase**, in which random formulas are satisfiable, but all satisfying states are highly entangled?

What is the **adversarial classical threshold**, where the hypergraph is random, but the adversary chooses which literals to negate?